



CA ID	CUSIP	Security Description	Product Type	Event Type	Announced Date	Ex Date	Record Date	Payable Date	Due Bill Redemption Date*****	Effective Date	DTC Instruction Expiration Date	DTC Cover /Protect Expiration Date	DTC Anticipated Pay Date	Publication Date	Cash Rate	Security Rate	DTC Contra CUSIP	Disbursed CUSIP	DTC Security Positions
542632339	192422103	COGNEX	10 - Common Stock	Tender Offer	17-Oct-23	N/A	N/A	N/A	N/A	N/A	1-Nov-23	2-Nov-23	3-Nov-23	N/A	\$ 20.00	N/A	N/A	N/A	10,000
542632341	12628R107	CSB BANCORP INC (OHIO)-	10 - Common Stock	Exchange Offer	17-Oct-23	N/A	N/A	N/A	N/A	N/A	24-Oct-23	25-Oct-23	26-Oct-23	N/A	N/A	1.00	N/A	192422103	10,000
542632242	902494103	TYSON FOODS, INC.	10 - Common Stock	Mandatory Exchange	16-Oct-23	N/A	N/A	N/A	N/A	24-Oct-23	N/A	N/A	N/A	N/A	N/A	0.25	N/A	714046109	10,000
542543685	714046109	REVVITY INC. COM	10 - Common Stock	Stock Dividend	12-Oct-23	30-Oct-23	25-Oct-23	27-Oct-23	30-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	0.10	N/A	714046109	10,000
542626303	364760108	Gap Inc	10 - Common Stock	Stock Split	13-Oct-23	2-Nov-23	30-Oct-23	1-Nov-23	2-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	0.20	N/A	55303J106	10,000
542543176	55303J106	MGP INDGREDIENTS INC +	10 - Common Stock	Cash Dividend	12-Oct-23	23-Oct-23	23-Oct-23	25-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.05	N/A	N/A	N/A	10,000
542631280	680194107	OLD POINT FINANCIAL CORP-	10 - Common Stock	Optional Dividend	16-Oct-23	1-Nov-23	26-Oct-23	30-Oct-23	1-Nov-23	N/A	N/A	N/A	N/A	N/A	\$ 0.10	0.20	N/A	680194107	10,000
542626305	704699107	PEAPACK GLADSTONE FINANCIAL CORP -	10 - Common Stock	Spin Off	13-Oct-23	26-Oct-23	23-Oct-23	25-Oct-23	26-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	0.20	N/A	989817101	10,000
542543180	896095106	TRICO BANCSHARES +	10 - Common Stock	Cash Dividend	12-Oct-23	26-Oct-23	23-Oct-23	25-Oct-23	26-Oct-23	N/A	N/A	N/A	N/A	N/A	\$ 0.25	N/A	N/A	N/A	10,000
542631290	43708L108	HOME FED BANCORP INC L	10 - Common Stock	Stock Dividend	16-Oct-23	23-Oct-23	23-Oct-23	25-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.2	NA	43708L108	10,000
542626234	989817101	ZUMIEZ INC.	10 - Common Stock	Optional Dividend	13-Oct-23	25-Oct-23	23-Oct-23	24-Oct-23	25-Oct-23	N/A	N/A	N/A	N/A	N/A	\$ 0.10	0.25	N/A	989817101	10,000
542551456	706327103	PEMBINA PIPELINE CORPORATION F	10 - Common Stock	Cash Dividend	13-Oct-23	25-Oct-23	23-Oct-23	24-Oct-23	25-Oct-23	N/A	N/A	N/A	N/A	N/A	\$ 0.05	N/A	N/A	N/A	10,000
542631291	923725105	VERMILION ENERGY INC F	10 - Common Stock	Stock Split	16-Oct-23	23-Oct-23	23-Oct-23	25-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.5	NA	923725105	10,000
542626310	14167R308	Carecloud Inc	20 - Preferred Stock	Stock Dividend	13-Oct-23	25-Oct-23	23-Oct-23	24-Oct-23	25-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	1.00		14167R308	10,000
542571708	78590A406	SACHEM CAPITAL CORP. 7.75% NOTES DUE 2025	22 - Preferred Stock - REIT	Cash Dividend	13-Oct-23	23-Oct-23	23-Oct-23	25-Oct-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.20	N/A	N/A	N/A	10,000
542631289	72200U209	\$ARP PIMCO CORPORATE	102 - Auction Rate Preferred Stock - ARPS	Optional Dividend	16-Oct-23	27-Oct-23	24-Oct-23	26-Oct-23	27-Oct-23	N/A	N/A	N/A	N/A	N/A	\$ 0.25	0.15	N/A	72200U209	10,000
542631318	83175M205	SMITH & NEPHEW GROUP P L C	110 - American Depository Receipt	Special Dividend	16-Oct-23	3-Nov-23	31-Oct-23	2-Nov-23	3-Nov-23	N/A	N/A	N/A	N/A	N/A	\$ 0.20	N/A	N/A	N/A	10,000
542626311	108441205	BRIDGESTONECORPADR**	110 - American Depository Receipt	Stock Split	13-Oct-23	3-Nov-23	31-Oct-23	2-Nov-23	3-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	0.20	N/A	108441205	10,000
542632342	47103U100	ETF JANUS DETROIT STR BE<	117 - Exchange Traded Funds	Tender Offer	17-Oct-23	N/A	N/A	N/A	N/A	N/A	1-Nov-23	2-Nov-23	3-Nov-23	N/A	\$ 25.00	N/A	N/A	N/A	10,000

542631326	643154JL2	KY COMMWLTH REF RV KY D05/14/08 4.500% MN18 BE	310 - Municipal Bond	Partial Call	16-Oct-23	N/A	N/A	25-Oct-23	N/A	N/A	N/A	N/A	N/A	23-Oct-23	\$ 1,000.00	N/A	N/A	N/A	10,000
542631319	64966LU41	\$VRDO NEW YORK N Y NY D06/18/15 00.010% 44 BE	314 - Municipal Variable Rate Demand Obligation (VRDO)	Maturity	16-Oct-23	N/A	N/A	25-Oct-23	N/A	N/A	N/A	N/A	25-Oct-23	N/A	\$ 1,000.00	N/A	N/A	N/A	100,000
542631324	61772BAB9	MORGAN STANLEY GLOBAL MEDIUM-TERM NOTES, SERIES I	500 - Corporate Bond (CB) or Global Corporate Bond (GCB)	Full Call	16-Oct-23	N/A	N/A	25-Oct-23	N/A	N/A	N/A	N/A	N/A	23-Oct-23	\$ 1,000.00	N/A	N/A	N/A	10,000
542631320	444425AA0	CD Barclays DEL WIL D12/30/13 0.010%	507 - Certificates of Deposit	Maturity	16-Oct-23	N/A	N/A	25-Oct-23	N/A	N/A	N/A	N/A	25-Oct-23	N/A	\$1,000.00	N/A	N/A	N/A	100,000
542631241	61762FAM8	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mtge Obligation or Global CMO	Interest	16-Oct-23	N/A	31-Oct-23	2-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 25.00	N/A	N/A	N/A	100,000
542631325	64830XCJ6	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mtge Obligation or Global CMO	Partial Call	16-Oct-23	N/A	N/A	2-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 12.00	N/A	N/A	N/A	100,000
542631321	G18375AA6	GABS CAPITAL ONE 04-3 D04/14/04 0.010% JJ22	541 - Asset-Backed Security (ABS) or Global ABS (GABS)	Final Paydown	16-Oct-23	N/A	N/A	2-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 9.50	N/A	N/A	N/A	100,000
542631242	G84393AC4	STAR ENERGY GEOTHERMAL (WAYANG WINDU) LIMITED DUE 2033	542 - Non-CMO/ABS Amortizing Issue	Interest	16-Oct-23	N/A	31-Oct-23	2-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 10.50	N/A	N/A	N/A	100,000
542631322	46124AAA9	CB144A INVACARE HLDGS D05/05/23 07.500% MN28	572 - Convertible Corporate Debt	Full Call	16-Oct-23	N/A	N/A	25-Oct-23	N/A	N/A	N/A	N/A	25-Oct-23	N/A	\$ 1,000.00	N/A	N/A	N/A	100,000
542631323	61768CBJ5	MORGAN STANLEY ABS CAPITAL I 2006-NC1	704 - Corporate Debt Derivative	Partial Call	16-Oct-23	N/A	N/A	1-Nov-23	N/A	N/A	N/A	N/A	N/A	24-Oct-23	\$ 1,000.00	N/A	N/A	N/A	10,000
542632241	11276B109	BROOKFIELD INFRASTRUCTURE	705 - Equity Derivative	Rights Subscription	16-Oct-23	N/A	24-Oct-23	27-Oct-23	N/A	N/A	25-Oct-23	27-Oct-23	30-Oct-23	N/A	\$10.00 + plus 1 right	1.00	N/A	74348T102	10,000
542632369	203668116	RTS COMMUNITY H BE+	730 - Right	Rights Subscription	17-Oct-23	N/A	24-Oct-23	27-Oct-23	N/A	N/A	25-Oct-23	27-Oct-23	30-Oct-23	N/A	\$10.00 + plus 1 right	1.00	N/A	232806109	10,000
542632376	74348T102	PROSPECT CAPITAL CORPORATION RR	761 - Closed End Fund	Rights Distribution	17-Oct-23	24-Oct-23	24-Oct-23	26-Oct-23	NA	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	203668116	10,000
542626231	67062J102	NUVEEN MUNICIPAL INCOME FUND INC	790 - Unit Investment Trust (Nuveen Only)	Cash Dividend	13-Oct-23	25-Oct-23	23-Oct-23	24-Oct-23	25-Oct-23	N/A	N/A	N/A	N/A	N/A	\$ 0.05	N/A	N/A	N/A	10,000
542631275	46137L144	INVESCO UNIT TRUSTS TAXABLE INCOME SERIES 489 INVESTMENT GRADE INCOME TRUST, 7-13 YEAR SERIES 43 IGST43	795 - Unit Investment Trust	Interest	16-Oct-23	N/A	30-Oct-23	1-Nov-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 10.50	N/A	N/A	N/A	10,000

### Important Considerations:

When a field is marked N/A it will usually not be applicable for this event with the exception being where Elective Dividend Services apply in certain cases such as Tax Relief and DRIP. Participants may apply instructions in these cases but it is not mandatory that they do so

\* The CA ID will be populated and available when the announcement has been generated in DTCC's Corporate Actions systems

\*\*\* The DTC Contra CUSIP will be available when the event has been created in DTCC's Corporate Actions systems

\*\*\*\* The DTC Disbursed CUSIP will be available when the event has been created in DTCC's Corporate Actions systems

\*\*\*\* Participants testing Rights Subscriptions in the PTS PSOP and PBS Rights Subscriptions functions should refer to the event details in PTS/PBS for subscription level information

\*\*\*\*\* Participants testing IVORS should refer to the redemption/rollover details in PTS/PBS Instructions Submission and Inquiries functions for further details

\*\*\*\*\* Due Bill Redemption Date is the first date that Due Bills can be redeemed and should be considered allocation date. This column is not to be confused with the CA Web Due Bill Redemption Date data element which is mapped to Due Bill Off Date