



CA ID	CUSIP	Security Description	Product Type	Event Type	Announced Date	Ex Date	Record Date	Payable Date	Due Bill Redemption Date*	Effective Date	DTC Instruction Expiration Date	DTC Cover/Protect Expiration Date	DTC Anticipated Pay Date	Publication Date	Cash Rate	Security Rate	DTC Contra CUSIP	Disbursed CUSIP	DTC Security Positions
542180350	192422103	COGNEX	10 - Common Stock	Mandatory Exchange	5-Sep-23	N/A	N/A	N/A	N/A	14-Sep-23	N/A	N/A	15-Sep-23	N/A	N/A	1.00	N/A	989817101	10,000
542184032	12628R107	CSB BANCORP INC (OHIO)-	10 - Common Stock	Stock Split	7-Sep-23	21-Sep-23	11-Sep-23	20-Sep-23	21-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	12628R107	10,000
542184033	902494103	TYSON FOODS, INC.	10 - Common Stock	Stock Dividend	7-Sep-23	18-Sep-23	18-Sep-23	21-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.50	N/A	902494103	10,000
542184118	714046109	REVVITY INC. COM	10 - Common Stock	Tender Offer	7-Sep-23	N/A	N/A	N/A	N/A	N/A	12-Sep-23	13-Sep-23	14-Sep-23	N/A	\$ 55.00	N/A	N/A	N/A	10,000
542184034	364760108	Gap Inc	10 - Common Stock	Cash Dividend	7-Sep-23	12-Sep-23	12-Sep-23	18-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 11.00	N/A	N/A	N/A	10,000
542184035	55303J106	MGP INGREDIENTS INC +	10 - Common Stock	Stock Split	7-Sep-23	21-Sep-23	11-Sep-23	20-Sep-23	21-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	55303J106	10,000
542184036	680194107	OLD POINT FINANCIAL CORP-	10 - Common Stock	Spin Off	7-Sep-23	21-Sep-23	18-Sep-23	20-Sep-23	21-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	0.80	N/A	680194107	10,000
542184108	704699107	PEAPACK GLADSTONE FINANCIAL CORP	10 - Common Stock	Exchange Offer	7-Sep-23	N/A	N/A	N/A	N/A	N/A	18-Sep-23	N/A	19-Sep-23	N/A	N/A	0.80	N/A	203668116	10,000
542184037	896095106	TRICO BANCSHARES +	10 - Common Stock	Stock Split	7-Sep-23	21-Sep-23	11-Sep-23	20-Sep-23	21-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	896095106	10,000
542184109	43708L108	HOME FED BANCORP INC L	10 - Common Stock	Tender Offer	7-Sep-23	N/A	N/A	N/A	N/A	N/A	12-Sep-23	13-Sep-23	14-Sep-23	N/A	\$ 64.53	N/A	N/A	N/A	10,000
542184038	989817101	ZUMIEZ INC.	10 - Common Stock	Optional Dividend	7-Sep-23	15-Sep-23	15-Sep-23	20-Sep-23	N/A	N/A	19-Sep-23	N/A	N/A	N/A	\$ 15.00	0.25	N/A	989817101	10,000
542184111	706327103	PEMBINA PIPELINE CORPORATION F	10 - Common Stock	Tender Offer	7-Sep-23	N/A	N/A	N/A	N/A	N/A	18-Sep-23	19-Sep-23	20-Sep-23	N/A	\$ 25.50	N/A	N/A	N/A	10,000
542184112	923725105	VERMILION ENERGY INC F	10 - Common Stock	Tender Offer	7-Sep-23	N/A	N/A	N/A	N/A	N/A	18-Sep-23	19-Sep-23	20-Sep-23	N/A	\$ 27.35	N/A	N/A	N/A	10,000
542184039	14167R308	Carecloud Inc	20 - Preferred Stock	Rights Distribution	7-Sep-23	21-Sep-23	15-Sep-23	20-Sep-23	21-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	09257R101	10,000
542184114	78590A406	SACHEM CAPITAL CORP. 7.75% NOTES DUE 2025	22 - Preferred Stock - REIT	Tender Offer	7-Sep-23	N/A	N/A	N/A	N/A	N/A	12-Sep-23	13-Sep-23	14-Sep-23	N/A	\$ 64.53	N/A	N/A	N/A	10,000
542184040	72200U209	SARP PIMCO CORPORATE	102 - Auction Rate Preferred Stock - ARPS	Cash Dividend	7-Sep-23	19-Sep-23	12-Sep-23	18-Sep-23	19-Sep-23	N/A	N/A	N/A	N/A	N/A	\$ 0.23	N/A	N/A	N/A	10,000
542184041	83175M205	SMITH & NEPHEW GROUP P L C	110 - American Depository Receipt	Cash Dividend	7-Sep-23	12-Sep-23	12-Sep-23	18-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.86	N/A	N/A	N/A	10,000
542184116	108441205	BRIDGESTONECORPADR**	110 - American Depository Receipt	Tender Offer	7-Sep-23	N/A	N/A	N/A	N/A	N/A	18-Sep-23	19-Sep-23	20-Sep-23	N/A	\$ 50.00	N/A	N/A	N/A	10,000
542184042	47103U100	ETF JANUS DETROIT STR BE<	117 - Exchange Traded Funds	Stock Dividend	7-Sep-23	18-Sep-23	18-Sep-23	21-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.50	N/A	47103U100	10,000
542184043	643154JL2	KY COMMWLTH REF RV KY D05/14/08 4.500% MN18 BE	310 - Municipal Bond	Interest	7-Sep-23	N/A	15-Sep-23	18-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 1.33	N/A	N/A	N/A	100,000
542184044	64966LU41	\$VRDO NEW YORK N Y NY D06/18/15 00.010% 44 BE	314 - Municipal Variable Rate Demand Obligation (VRDO)	Rights Distribution	7-Sep-23	21-Sep-23	15-Sep-23	20-Sep-23	21-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	203668116	100,000
542184045	61772BAB9	MORGAN STANLEY GLOBAL MEDIUM-TERM NOTES, SERIES I	500 - Corporate Bond (CB) or Global Corporate Bond (GCB)	Interest	7-Sep-23	N/A	15-Sep-23	18-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.75	N/A	N/A	N/A	100,000
542184028	444425AA0	CD Barclays DEL WIL D12/30/13 0.010%	507 - Certificates of Deposit	Maturity	7-Sep-23	N/A	11-Sep-23	12-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	\$1,000.00	N/A	N/A	N/A	100,000
542184046	61762FAM8	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mgtg Obligation or Global CMO	Principal	7-Sep-23	N/A	18-Sep-23	19-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 10.50	N/A	N/A	N/A	100,000
542184047	64830XCJ6	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collateralized Mgtg Obligation or Global CMO	Interest	7-Sep-23	N/A	12-Sep-23	21-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 2.25	N/A	N/A	N/A	100,000
542184119	G18375AA6	GABS CAPITAL ONE 04-3 D04/14/04 0.010% JJ22	541 - Asset-Backed Security (ABS) or Global ABS (GABS)	Exchange Offer	7-Sep-23	N/A	N/A	N/A	N/A	N/A	12-Sep-23	N/A	13-Sep-23	N/A	N/A	0.75	N/A	12645LAW9	100,000

542184048	G84393AC4	STAR ENERGY GEOTHERMAL (WAYANG WINDU) LIMITED DUE 2033	542 - Non-CMO/ABS Amortizing Issue	Interest	7-Sep-23	N/A	12-Sep-23	21-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 1.15	N/A	N/A	N/A	100,000
542184030	46124AAA9	CB144A INVACARE HLDGS D05/05/23 07.500% MN28	572 - Convertible Corporate Debt	Partial Call	7-Sep-23	N/A	18-Sep-23	19-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 1,000.00	N/A	N/A	09257R101	100,000
542184049	61768CBJ5	MORGAN STANLEY ABS CAPITAL I 2006-NC1	704 - Corporate Debt Derivative	Interest	7-Sep-23	N/A	15-Sep-23	18-Sep-23	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.75	N/A	N/A	N/A	10,000
542184050	11276B109	BROOKFIELD INFRASTRUCTURE	705 - Equity Derivative	Cash Dividend	7-Sep-23	19-Sep-23	12-Sep-23	18-Sep-23	19-Sep-23	N/A	N/A	N/A	N/A	N/A	\$ 0.46	N/A	N/A	N/A	10,000
542184120	203668116	RTS COMMUNITY H BE+	730 - Right	Rights Subscription	7-Sep-23	N/A	N/A	N/A	N/A	N/A	15-Sep-23	18-Sep-23	19-Sep-23	N/A	\$15.00 + plus 1 right	1.00	N/A	74348T102	10,000
542184031	74348T102	PROSPECT CAPITAL CORPORATION RR	761 - Closed End Fund	Full Call	7-Sep-23	N/A	N/A	16-Sep-23	NA	N/A	N/A	N/A	N/A	N/A	\$ 10.500	N/A	N/A	N/A	10,000
542184051	67062J102	NUVEEN MUNICIPAL INCOME FUND INC	790 - Unit Investment Trust (Nuveen Only)	Cash Dividend	7-Sep-23	19-Sep-23	12-Sep-23	18-Sep-23	19-Sep-23	N/A	N/A	N/A	N/A	N/A	\$ 0.25	N/A	N/A	N/A	10,000
542184121	46137L144	INVESCO UNIT TRUSTS TAXABLE INCOME SERIES 489 INVESTMENT GRADE INCOME TRUST, 7-13 YEAR SERIES 43 IGST43	795 - Unit Investment Trust	Exchange Offer	7-Sep-23	N/A	N/A	N/A	N/A	N/A	20-Sep-23	N/A	21-Sep-23	N/A	N/A	0.65	N/A	203668116	10,000

Important Considerations:

When a field is marked N/A it is usually not be applicable for this event with the exception being where Elective Dividend Services apply in certain cases such as Tax Relief and DRIP. Participants may apply instructions in these cases but this is not required to participate in testing.

Participants testing Voluntary Reorganizations Instructions should use either the VRI ISO 20022 suite of messaging or refer to the PTS/PBS PTOP and PSOP functions for instruction submission.

*Due Bill Redemption Date should be treated as the last day due bills can be redeemed. The allocation of securities will occur on the business day after Due Bill Redemption Date.

**Participants testing IVORS should refer to the redemption/rollover details in PTS/PBS Submission and Inquiries functions for further details.