



**Important Notice**  
National Securities Clearing Corporation

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| <b>A #:</b>       | a9456  |
| <b>P&amp;S:</b>   | p&s9029  |
| <b>Date:</b>      | July 3, 2024   |
| <b>To:</b>        | All Members and Limited Members  |
| <b>From:</b>      | General Counsel's Office   |
| <b>Attention:</b> | Managing Partner/Officer, Operations Partner/Officer, Compliance Officer   |
| <b>Subject:</b>   | NSCC Proposed Rule Change – Add Paperless Replacements and Producer Authorization Service Offerings to I&RS and Make Certain Clarification Changes in the Rules (SR-NSCC-2024-005) |

On July 3, 2024, National Securities Clearing Corporation (“NSCC”) filed a proposed rule change (SR-NSCC-2024-005) (“Rule Filing”) with the Securities and Exchange Commission pursuant to Section 19(b)(3)(A) of the Securities Exchange Act of 1934, as amended.

The Rule Filing consists of modifications to Insurance & Retirement Services (“I&RS”) in order to (i) provide for two new service offerings relating to replacements of insurance contracts and producer authorizations, and related fees for each new service offering, (ii) update the descriptions of I&RS service offerings and certain defined terms in Rule 57 and Addendum A of NSCC’s Rules & Procedures and (iii) make other clarification changes.

The full text of the Rule Filing may be obtained by visiting the DTCC website at [www.dtcc.com/legal/sec-rule-filings](http://www.dtcc.com/legal/sec-rule-filings).

Written comments on the Rule Filing may be addressed to the Secretary, Securities and Exchange Commission, 100 F Street NE, Washington DC 20549-1090. Electronic comments may be submitted by using the SEC’s Internet comment form ([www.sec.gov/rules/sro.shtml](http://www.sec.gov/rules/sro.shtml)) or by sending an email to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include File Number SR-DTC-2024-005 on the subject line. We request that you provide DTC with a copy of your comments.

Questions regarding this Important Notice may be addressed to your Relationship Manager. Questions regarding the Rule Filing may be addressed to [RuleFilingAdmin@dtcc.com](mailto:RuleFilingAdmin@dtcc.com).

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