



Important Notice
National Securities Clearing Corporation

A#:	9455
P&S #:	9028
Date:	06/28/2024
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	CLIENT ACCOUNT SERVICES
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, July 12, 2024:

TD Ameritrade Clearing, Inc.	No.	0188
OTC Alpha Identifier		FOMA
MUNI Alpha Identifier		FOMA
CORP Alpha <i>Identifier</i>		TDAR
UIT Alpha Identifier		TDAR

As a result, effective July 17, 2024, Charles Schwab & Co., Inc. (#0164) will assume all open contracts for TD Ameritrade Clearing, Inc. (#0188), which are to be cleared or settled through or by National Securities Clearing Corporation.

- The following firm will retire from all services, as detailed below:

Last Trade Date, June 28, 2024

Quasar Distributors LLC/Fort Pitt Capital Funds	No.	5887
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In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking, ACATS Fund/SERV and MF Daily Price & Rate services, as detailed below:

First Trade Date, July 01, 2024

Ultimus Fund Distributors, LLC/Fort Pitt Capital Funds	No.	5887
Contact: Chris Baird		
Phone: (402) 578-0172		

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- The following firm will retire from all services, as detailed below:

Last Trade Date, July 05, 2024:

Delaware Life Insurance Company of New York/SLF Serviced No. 4646

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Nassau Life Insurance Company (#4579) for the purpose of utilizing Insurance Processing services, as detailed below:

First Trade Date, July 08, 2024

Nassau Life Insurance Company/SLF Serviced No. 4646

- The following firms will retire from all services, as detailed below:

Last Trade Date, June 25, 2024

Prudential Insurance Company of America/Prudential Retirement Insurance and Annuity Corporation No. 6525

Last Trade Date, June 28, 2024

Foreside Fund Services, LLC/PMC Funds No. 5747

Last Trade Date, July 05, 2024

Ultimus Fund Distributors, LLC/Silk Funds No. 9187

Last Trade Date, July 17, 2024

TD Ameritrade Clearing, Inc. No. 3867

- The following firm will no longer participate in ACATS services, as detailed below:

Last Trade Date, July 11, 2024:

TD Ameritrade Clearing, Inc. No. 0188

- The following firm will change their name only, as detailed below:

First Trade Date, July 01, 2024:

From: Hines Securities, Inc. No. 3584

To: Hines Private Wealth Solutions LLC No. 3584

- The following firms will begin participation in the Alternative Investment Product services, as detailed below:

First Trade Date, June 26, 2024

Inland Real Estate Investment Corporation/Self-Storage Portfolio XXI DST	No.	1BLD
Alter Domus (Us) LLC/Jefferies Credit Partners BDC Inc.	No.	1BLF
ARES Diversified Real Estate Exchange LLC/Adrex Diversified 6 DST	No.	1BMQ
US Bancorp Fund Services LLC/Seer Capital Partners Fund L.P.	No.	1BMU
US Bancorp Fund Services LLC/Seer Capital Partners Offshore Fund LTD.	No.	1BMV
US Bancorp Fund Services LLC/Seer Capital Partners Offshore Fund II LTD.	No.	1BMX
SS&C Technologies, Inc./CSF IV, LP	No.	1BN2

First Trade Date, June 27, 2024

JSQ Administrative Services LLC/Divsersified Government Reit II, Inc.	No.	1BOD
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First Trade Date, July 01, 2024

ARES Industrial Real Estate Exchange LLC/Airex Portfolio 8 DST	No.	1BPH
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- The following additional number has been assigned to Reliance Trust Company (#4896) for the purpose of utilizing Fund/SERV, Networking and MF Daily Price & Rate services as detailed below:

First Trade Date, June 28, 2024:

Reliance Trust Company/JOHCM	No.	1668
Contact: James Kramer		
Phone: (312) 557-3592		

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Clearing Broker Change, Effective First Trade Date, July 01, 2024</u>							
From: Sovereign Global Advisors, LLC	NFSC	0226	SOVG	✓			
To: Sovereign Global Advisors, LLC	WSGD	8199	SOVG	✓			
<u>Delete Last Trade Date, June 25, 2024</u>							
Atreyu Trading	SHMR	0695	ATRU	✓			
<u>Delete Last Trade Date, June 27, 2024</u>							
Ninth Eternity Securities LLC	IBKR	0534	NEUS	✓			
Natalliance Securities, LLC	SWST	0279	NACL	✓	✓		✓

Delete Last Trade Date, June 28, 2024

Peter Elish Investments Securities	IBKR	0534	ELSH	✓			
Rice Securities, LLC	RBCD	0235	RICJ	✓	✓	✓	✓

Delete Last Trade Date, July 12, 2024

TD Ameritrade, Inc.	TDAR	0188	SWIM		✓		✓
TD Ameritrade, Inc.	FOMA	0188	SWIM	✓			
TD Ameritrade, Inc.	FOMA	0188	TDAR	✓		✓	
TD Ameritrade Clearing, Inc.	FOMA	0188	AMTD			✓	
Labrunerie Financial Services, Inc.	FOMA	0188	LABR			✓	
Main Street Management Company	FOMA	0188	MSMC			✓	
Spectrum Capital, Inc.	FOMA	0188	SPCA			✓	
21st Century Financial Services	FOMA	0188	TWFS			✓	

Add First Trade Date, June 25, 2024

Apex Clearing Corporation	PFCL	0158	AORP	✓	✓	✓	✓
Apex Clearing Corporation	PFCL	0158	APBD	✓	✓	✓	✓

Add First Trade Date, June 27, 2024

Oceanus Securities, LLC	VLNT	4305	OCEE	✓			
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Add First Trade Date, June 28, 2024

Sovereign Global Advisors, LLC	WSGD	8199	SOVG		✓	✓	✓
Atreyu Trading	IBKR	0534	ATRU	✓			

Add First Trade Date, July 01, 2024

Opendeal Broker LLC	PFCL	0158	OPDL	✓			
Openyield Trading LLC	RBCD	0235	OYLD	✓	✓	✓	✓
The Frazer Lanier Company, Incorporated	SALI	0750	FLCO		✓		

Add First Trade Date, July 10, 2024

Mcdermott Investment Services, LLC	SWST	0279	DMCD	✓	✓	✓	✓
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If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.