

Important Notice

National Securities Clearing Corporation

A #:	9454	
P&S:	9027	
Date:	JUNE 27, 2024	
То:	ALL ACATS USERS	
Category:	CLEARING SERVICES - EQUITIES	
From:	DTCC PRODUCT MANAGEMENT	
Attention:	DIRECTOR OF OPERATIONS, ACCOUNT TRANSFERS DEPARTMENT	
Subject:	ACATS VIA DTCC PORTAL URL UPDATE 4 – FOR .NET USERS	

This notice is a follow up to Important Notice <u>A9378</u> (dated January 12, 2024) 'NSCC Web Application Modernization Initiative – Member Impact'. The previous important notice announced upcoming technology upgrades that would impact various DTCC web portal application URL's.

ACATS has completed its upgrades on portal.dtcc.com (see Important Notice $\underline{A9435}$). The next URL change will be for users that access the portal via portal.dtcc.net.

For further information, refer to the table below.

Web Application	PSE Open for Testing	Go-Live Date
Automated Customer Account Transfer Service	Updated – for both '.com'	Updated for '.com' as of
(ACATS)	and '.net' URLs	6/21/24
,		8/9/2024 for '.net'

.NET Client Impact

There will be no change to the existing product functionalities. As a result, clients should be able to use and navigate the web application as they currently do. However, due to the creation of new URLs, access points to these applications will change, and firms are advised to:

- 1. Confirm whether your firm accesses the above applications over the '.com' or the '.net' path. If your firm utilizes the '.net' connectivity, further work is needed on the part of your firm.
- 2. Make **networking changes** for your firm's '.net' connectivity, in both the PSE and Production environments to ensure that you maintain access to the applications after the deployment.
- 3. Test and confirm the completion of the connectivity changes.

Documentation

Additionally, comprehensive technical network connectivity guides have been created and are available on DTCC Learning site at www.dtcclearning.com. Note that you will need to have access to the DTCC

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Learning Center to get to the document. If you do not have the requisite access, you can register with the Learning Center directly on the web site.

Direct link to ACATS technical guide for .net changes.

 $\underline{https://dtcclearning.com/documents/equities-clearing-1/acats/acats-technical-resources/4883-acats-network-connectivity-modernization/file.html}$

If you have any questions regarding this notice, please reach out to NSCC Support at nsccsupport@dtcc.com or your Relationship Manager who will be reaching out to firms individually that are impacted by these changes.