



Important Notice
National Securities Clearing Corporation

A #:	9447
P&S:	9020
Date:	06/18/2024
To:	ALL MEMBERS
Category:	CLEARING SERVICES – EQUITIES
From:	NSCC PRODUCT MANAGEMENT, DTCC EQUITIES CLEARING
Attention:	MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER MANAGER P&S DEPARTMENT, MANAGER DATA PROCESSING DEPARTMENT
Subject:	SMART/TRACK For CNS Corporate Actions (Product “CNR”)

This notice is being published as a reminder to clients of how the input of voluntary reorganization instructions occur within SMART/TRACK for CNS Corporate Actions (Product “CNR”) in a shortened settlement cycle (T+1).

Today, the processing window for Members to submit a protect instruction is from 9:45 PM – 10:45 PM EST. This window allows Members to submit a protect instruction after CNS produces the “positions prior to the night cycle report” and avoid applicable late fees. Members are reminded that the CNS calendar date changes at approximately 6:30 PM EST each day to prepare for the following settlement cycle. Since the window for submitting a protect instruction occurs after CNS changes its calendar date, Members should use the current CNS calendar date, when submitting protect instructions.

The process outlined above does not require any Member coding changes as a voluntary corporate action instruction is only submitted to CNS via the SMART/TRACK for CNS Corporate Actions (Product “CNR”) web application.

If you have any questions regarding this notice, please reach out to your DTCC Relationship Manager.

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