



Important Notice
National Securities Clearing Corporation

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| A #: | 9428 |
| P&S: | 9001 |
| Date: | June 14, 2024 |
| To: | ALL MEMBERS AND LIMITED MEMBERS |
| Category: | MUTUAL FUNDS SERVICES |
| From: | WEALTH MANAGEMENT SERVICES – MUTUAL FUNDS |
| Attention: | MANAGER MUTUAL FUNDS OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&S DEPARTMENT |
| Subject: | MF Info Xchange Service Enhancements |

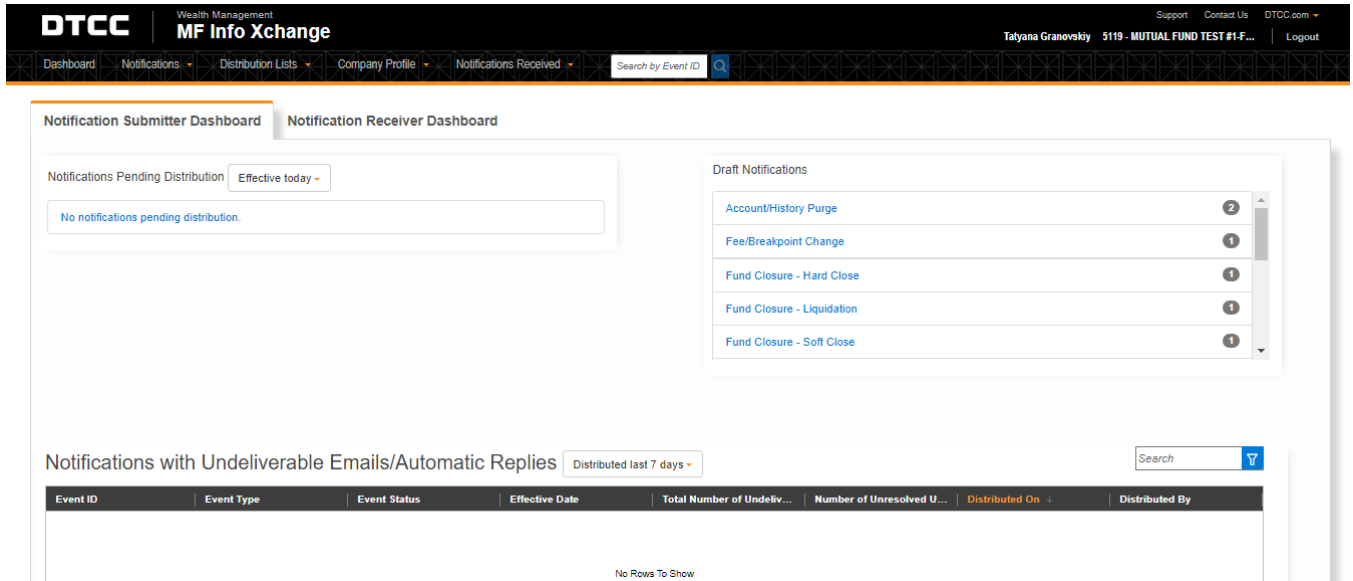
Effective July 12, 2024, National Securities Clearing Corporation (NSCC) will implement additional enhancements and capabilities to MF Info Xchange.

As part of this release, NSCC will enhance MF Info Xchange as follows:

1) Rebranded User Interface

In order to align with the new DTCC’s corporate branding, MF Info Xchange web user interface will be updated with the new colors and fonts. While this refreshed visual identity changes the overall look and feel of the system, the existing features and capabilities will remain the same.

Current coloring scheme



DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

New coloring scheme

The screenshot displays the DTCC MF Info Xchange dashboard. The top navigation bar includes 'DTCC MF Info Xchange (DEV)', 'Talyana Granovsky', and '2222 - TEST FUND - FUND_1'. The main content area is divided into two tabs: 'Notification Submitter Dashboard' and 'Notification Receiver Dashboard'. The 'Notification Submitter Dashboard' contains three sections: 'Notifications Pending Distribution' (with a dropdown for 'Effective today' and a message 'No notifications pending distribution.'), 'Notifications Pending Approval' (with a dropdown for 'All' and one notification 'Account/History Purge' with a count of 2), and 'Notifications with Undeliverable Emails/Automatic Replies' (with a dropdown for 'Distributed last 7 days'). The 'Notification Receiver Dashboard' contains two sections: 'Draft Notifications' (a list with counts: Account/History Purge (1), Dividend/Capital Gain Schedule (5), Fee/Breakpoint Change (1), Fund Closure - Hard Close (2), Fund Closure - Liquidation (1)) and 'Rejected Notifications' (with a dropdown for 'All' and one notification 'Fund Reopening' with a count of 1). At the bottom, there is a table header with columns: 'Event ID', 'Event Type', 'Event Status', 'Effective Date', 'Total Number of Undeliverable...', 'Number of Unresolved Undel...', 'Distributed On', 'Distributed By', and 'Delete'. The table body is empty, showing 'No Rows To Show'.

2) Integration with NSCC Security Master File

Current Functionality

Under the current functionality, clients can select key data elements (Security Issue ID, Fund Name, Fund Number, Ticker, Share Class and other fields) from Mutual Fund Profile Security (Profile Security) when creating a notification.

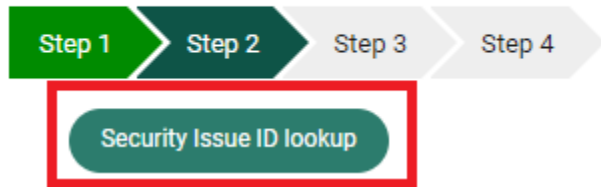
Enhanced Functionality

In order to further minimize keystrokes and reduce risk associated with manual entry, in addition to the existing seamless integration with Profile Security, MF Info Xchange will be integrated with the NSCC Security Master File (Master File). Security Issue IDs that are present on the Master File but not available in Profile Security will be available within MF Info Xchange. The following data elements will be extracted from the Master File:

- Security Issue ID
- Fund Name
- Ticker
- Share Class
- Effective Date

The existing “MFPS” button on the web user interface (Step 2 of the notification) will be renamed to “Security Issue ID Lookup”:

Create Notification



PLEASE NOTE: In order to perform code migration, MF Info Xchange will not be available in production on July 11, 2024, between 8pm-10pm ET.

TESTING

Effective June 28, 2024, clients can begin testing in the Participant Services Environment (PSE).

Clients are strongly encouraged to test and must contact their Relationship Manager before testing begins if access to PSE has not been previously established.

DOCUMENTATION

Updated documentation will be available on the DTCC Learning website on or about August 12, 2024.

Clients can find more information on MF Info Xchange at <http://www.dtcc.com/wealth-management-services/mutual-fund-services/mf-info-xchange>

Questions and comments regarding this Important Notice may be directed to your Relationship Manager or Wealth Management Services at **212-855-8877** or WMSconnect@dtcc.com.

Yana Granovskiy
Product Manager

DTCC Wealth Management Services