



*Important Notice*  
**National Securities Clearing Corporation**

|                   |   |
|-------------------|---|
| <b>A#:</b>        | 9186  |
| <b>P&amp;S #:</b> | 8759  |
| <b>Date:</b>      | 07/29/2022  |
| <b>To:</b>        | ALL PARTICIPANTS  |
| <b>Attention:</b> | OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER;<br>ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND<br>COMPARISON PARTICIPANTS |
| <b>From:</b>      | ACCOUNT ADMINISTRATION  |
| <b>Subject:</b>   | CHANGES IN THE LIST OF PARTICIPANTS   |

- The following firm will retire from all services, as detailed below:

**Last Trade Date, July 29, 2022:**

Delaware Distributors L.P./Ivy Funds No. 4879

- The following firm will no longer participate in the ACATS service, as detailed below:

**Last Trade Date, July 28, 2022:**

Davenport & Company LLC No. 0715

- The following firm will no longer participate in the Trade Comparison, Trade Recording, NYSE Listed, Obligation Warehouse and ACATS services, as detailed below:

**Last Trade Date, August 01, 2022**

Electronic Transaction Clearing, Inc./House No. 3821  
NYSE Alpha Identifier ETFJ  
OTC Alpha Identifier: ETFJ

- The following firm will begin participating in the ACATS service, as detailed below:

**First Trade Date, July 28, 2022:**

MFD Distributor, LLC No. 4987

- The following firm will begin participating in the MF Daily Price & Rate service, as detailed below:

**First Trade Date, August 01, 2022:**

Embed Clearing LLC No. 1963

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- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, August 03, 2022**

|   |     |      |
|---|-----|------|
| Alter Domus (US) LLC/Irradiant CLO Partners Fund II LP                    | No. | 0ZUY |
| Alter Domus (US) LLC/Irradiant Solutions Fund LP                          | No. | 0ZV2 |
| Alter Domus (US) LLC/Irradiant Solutions Offshore Fund LP                 | No. | 0ZV6 |
| Alter Domus (US) LLC/Irradiant Renewable Opportunities Partners (TE) L.P. | No. | 0ZV7 |
| US Bancorp Fund Services LLC/AXXES Private Markets Fund                   | No. | 0ZV8 |

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, MF Daily Price & Rate, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

**First Trade Date, August 01, 2022:**

|  |     |      |
|--|-----|------|
| Foreside Fund Services, LLC/Ark Venture Fund | No. | 3167 |
| Contact: James Howard                        |     |      |
| Phone: (508) 871-4608                        |     |      |

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

|   | <u>Clearing Broker</u> |                | <u>Executing Broker</u> |            | <u>Products OTC/CMU</u> |             |  | <u>UIT</u> |
|---|------------------------|----------------|-------------------------|------------|-------------------------|-------------|--|------------|
|   | <u>Alpha</u>           | <u>Numeric</u> | <u>Alpha</u>            | <u>OTC</u> | <u>Corp</u>             | <u>Muni</u> |  |            |
| <b><u>Executing Broker Name Change, Effective</u></b> |                        |                |                         |            |                         |             |  |            |
| <b><u>First Trade Date, August 01, 2022</u></b>       |                        |                |                         |            |                         |             |  |            |
| From: Citicorp Financial Services Corporation         | PERS                   | 0443           | CFSC                    |            | ✓                       |             |  | ✓          |
| To: Insigneo International Financial Services, LLC    | PERS                   | 0443           | CFSC                    |            | ✓                       |             |  | ✓          |
| <b><u>Add First Trade Date, July 25, 2022</u></b>     |                        |                |                         |            |                         |             |  |            |
| Tudor, Pickering & Co. Securities, Inc.               | RBCD                   | 0235           | PICK                    | ✓          | ✓                       | ✓           |  | ✓          |
| <b><u>Add First Trade Date, July 26, 2022</u></b>     |                        |                |                         |            |                         |             |  |            |
| Velocity Capital, LLC                                 | QNTX                   | 0294           | VELC                    | ✓          |                         |             |  |            |
| <b><u>Add First Trade Date, July 27, 2022</u></b>     |                        |                |                         |            |                         |             |  |            |
| HAP Trading, LLC                                      | MLCO                   | 0161           | HAPB                    | ✓          | ✓                       | ✓           |  | ✓          |
| <b><u>Add First Trade Date, July 28, 2022</u></b>     |                        |                |                         |            |                         |             |  |            |
| TC2000 Brokerage, Inc.                                | PFCL                   | 0158           | TCBR                    | ✓          |                         |             |  |            |
| <b><u>Add First Trade Date, July 29, 2022</u></b>     |                        |                |                         |            |                         |             |  |            |
| Citadel Securities LLC                                | PRST                   | 0551           | CDGO                    |            | ✓                       |             |  |            |
| Citadel Securities LLC                                | PRST                   | 0551           | CTDL                    |            | ✓                       |             |  |            |

**Add First Trade Date, August 08, 2022**

Bancolumbia Capital LLC

PERS

0444

BKCL



If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).