

The Depository Trust & Clearing Corporation

THE CTM™ AND CHARLES RIVER INVESTMENT MANAGEMENT SOLUTION

OVERVIEW

An end-to-end solution for post-trade automation

DTCC and Charles River offer investment managers a complete STP solution designed to meet the needs of market participants in the equity and fixed income markets in Thailand and around the globe. By joining forces, DTCC and Charles River are able to offer collective tools to manage the entire lifecycle of a trade — all from one single platform, resulting in maximum STP operational efficiency.



Certified Interface to the CTM™ service

Investment managers can access DTCC's CTM service, via the Charles River Investment Management Solution (Charles River IMS), to automate your domestic and cross border trades. The integrated solution provides exception-only processing, automated settlement instruction enrichment and notification to custodians, settlement agents and interested third parties.

KEY BENEFITS

- Achieves STP goals by automating trade communications and reducing manual processes
- Reduces costs and operational risks with central trade matching and exception-only processing
- Improves same-day affirmation rates and reduces time-to-settlement
- High transparency and consistency for your post-trade processes
- Connecting to CTM means connecting to almost 1750 counterparties in 52 countries
- Automated enrichment of your trades via ALERT®, the industry's largest web-based global database for the maintenance and communication of standing settlement instructions (SSIs)

CTM is DTCC's strategic platform for the central matching of cross-border and domestic transactions automating the trade confirmation process across multiple asset classes. Given today's challenging economic environment, market participants have never been under greater pressure to lower cost and increase operational efficiency. CTM allows market participants to not only process trades faster, but process trades better. And industry

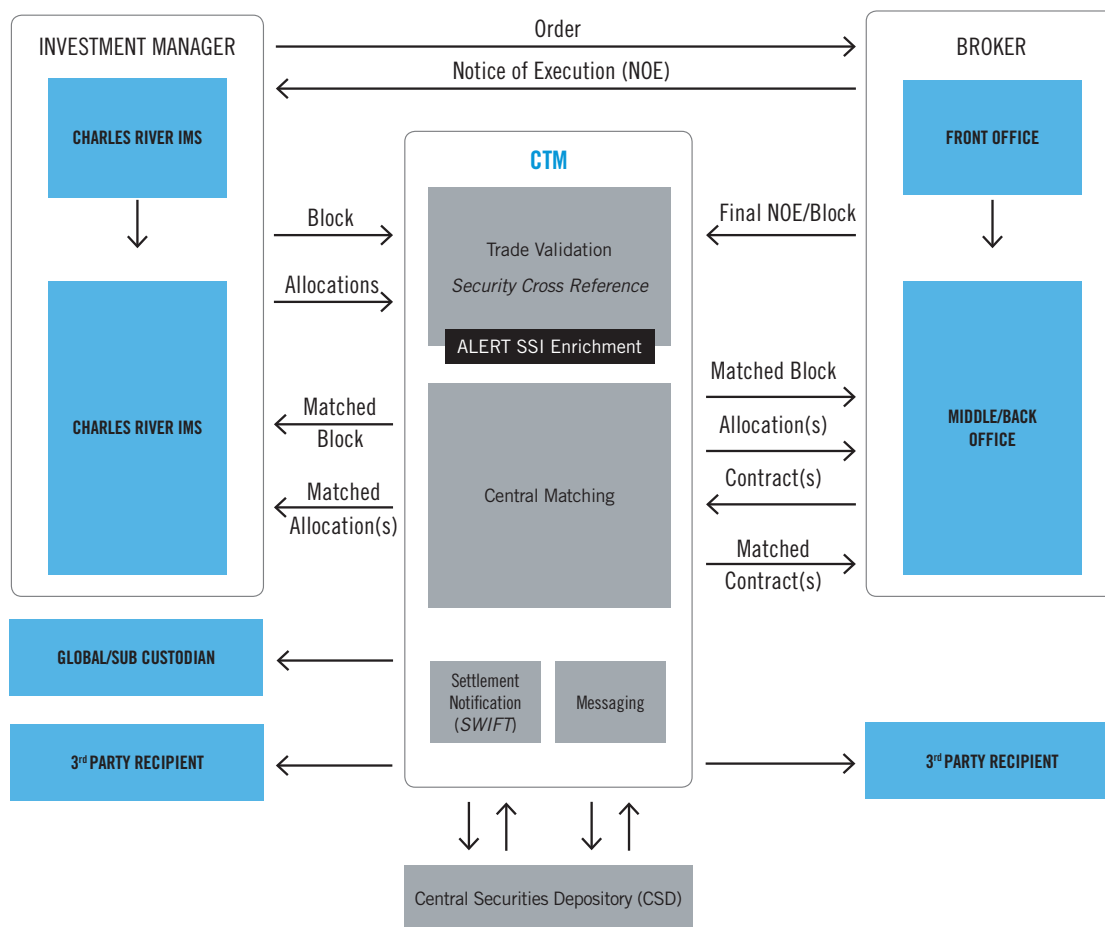
experts agree that central matching with DTCC's CTM platform is the most efficient way to streamline trade flows and achieve higher levels of automation.

DTCC's industry-governed ownership structure is focused on developing solutions that are first and foremost driven by the industry and our clients' needs to mitigate risk, create market efficiencies and reduce costs.

CHARLES RIVER IMS

The Charles River Investment Management Solution (Charles River IMS) supports the entire investment lifecycle on a single platform, from portfolio management and risk analytics through trading and post-trade settlement, with broad asset class support and integrated compliance management throughout. Built-in Investment Book of Record (IBOR) capabilities further improve efficiency and accuracy by removing dependence on back-office data feeds. Charles River IMS provides asset managers with enterprise-class scalability, reliability and security. Charles River clients can manage multiple business lines on one platform, reducing the time, cost and risk of bringing new products to market.

HOW OUR JOINT SOLUTIONS WORK



FOR MORE INFORMATION

Please email us at askdtccasia@dtcc.com,
 visit us on the web at www.dtcc.com/ctm or www.dtcc.com/partners
 Visit Charles River on the web at www.crd.com