



Important Notice
National Securities Clearing Corporation

A#:	8016
P&S #:	7591
Date:	04/10/2015
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, April 10, 2015:

Wilmington Trust Company/Wilmington Trust Retirement and Institutional Services Company No. 0068

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to MG Trust Company (#5954) for the purpose of utilizing Fund/SERV, Networking MF - Profile and DTCC Payment aXis services, as detailed below:

First Trade Date, April 13, 2015:

MG Trust Company/Matrix No. 0068
Contact: Christine Dawson
Phone: (720) 956-5479

- The following firm will retire from all services, as detailed below:

Last Trade Date, April 10, 2015:

Wilmington Trust Company/WTRIS TPS No. 3311

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to MG Trust Company (#5954) for the purpose of utilizing Fund/SERV, Networking and MF – Daily Price and Rate services, as detailed below:

First Trade Date, April 13, 2015:

MG Trust Company/Matrix Settlement Only No. 3311
Contact: Christine Dawson
Phone: (720) 956-5479

- The following firm will retire from all services, as detailed below:
Last Trade Date, April 8, 2015:
 Foreside Funds Distributors LLC/Simple Alternatives Funds of The RBB Fund, Inc. No. 5342

- The following firm will begin participation in the OTC Comparison service, as detailed below:
First Trade Date, April 8, 2015:
 National Bank of Canada Financial Inc. No. 8353
 OTC Alpha Identifier PTLV
**See below for additional information*

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:
First Trade Date, April 13, 2015:
 Quasar Distributors, LLC/Nuance Funds No. 5369

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:
First Trade Date, April 13, 2015:
 Opus Fund Services (USA) LLC No. 6874

First Trade Date, April 16, 2015:
 MG Trust Company No. 1531

- The following additional number has been assigned to The Northern Trust Company (#6625) for the purpose of utilizing Fund/SERV, Networking and MF – Daily Price and Rate services, as detailed below:
First Trade Date, April 15, 2015:
 The Northern Trust Company/Sub-Advised Collective Funds Trust-Marathon London No. 3202
 Contact: Jamie Jones-Ezefill
 Phone: (312) 444-4972

- The following additional number has been assigned to Quasar Distributors, LLC (#5368) for the purpose of utilizing Fund/SERV, Networking and DTCC Payment aXis services, as detailed below:
First Trade Date, April 15, 2015:
 Quasar Distributors LLC/M&G Offshore Funds No. 3204
 Contact: Renaud Gelhay
 Phone: (352) 2605-9229

- Please update the NSCC Directory dated April 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change Effective First Trade Date, April 8, 2015</u>				
From: Invemed Associates LLC	BSSC	0352	INVM	
To: Invemed Associates LLC	NFSC	0226	INVM	

- Please update the NSCC Directory dated April 2015 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change Effective First Trade Date, April 8, 2015</u>				
From: Gagnon Securities, LLC	BSSC	0352	GAGN	
To: Gagnon Securities, LLC	NFSC	0226	GAGN	

Executing Broker Name Change Effective First Trade Date, April 10, 2015

From: CD Funding Securities, LLC	LGNT	0052	CDFS	
To: Navian Capital Securities, LLC	LGNT	0052	CDFS	

Clearing Broker and Executing Broker Name Change Effective First Trade Date, April 10, 2015

From: S.J. Levinson & Sons LLC	SILK	0501	SJLS	
To: Trade Informatics LLC	MLCO	0161	SJLS	

Clearing Broker Change Effective First Trade Date, April 14, 2015

From: Precision Securities, LLC	ECUT	0100	PREC	
To: Precision Securities, LLC	IBFS	0824	PREC	

Delete Last Trade Date, April 7, 2015

National Bank Financial of Canada Inc.	PERS	0443	PTLV	
Kimelman & Baird, LLC	BSSC	0352	KIML	
Westport Capital Markets, LLC	BSSC	0352	WCMS	
Curren & Company	BSSC	0352	CURR	

Delete Last Trade Date, April 10, 2015

Pacific Crest Securities Inc.	NFSC	0226	PACS	
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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change Effective First Trade Date, April 8, 2015</u>				
From: Kimelman & Baird, LLC	BSSC	0352	KIML	
To: Kimelman & Baird, LLC	NFSC	0226	KIML	
<u>Clearing Broker Change Effective First Trade Date, April 8, 2015</u>				
From: Westport Capital Markets, LLC	BSSC	0352	WCMS	
To: Westport Capital Markets, LLC	NFSC	0226	WCMS	
<u>Clearing Broker Change Effective First Trade Date, April 8, 2015</u>				
From: Curren & Company	BSSC	0352	CURR	
To: Curren & Company	NFSC	0226	CURR	
<u>Add First Trade Date, April 10, 2015</u>				
Trade Informatics LLC	MLCO	0161	SJLS	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.