# DTCC *Important Notice* National Securities Clearing Corporation - OTC

OTC #:	221
DATE:	NOVEMBER 14, 2014
TO:	ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION:	OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM:	UNDERWRITING

SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE.THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
12K SEC REINSTATEMENT ***	G3597M107	FNTSF	FLINT INTL SVCS INC USD ORD SHS (VGB)	11/14/2014
ADD ***	G3698L107	FTRHF	FUTURE BRIGHT HOLDINGS LTD. ORDINARY SHARES (BERMUDA)	11/14/2014
12K SEC REINSTATEMENT ***	G70628105	PHXMF	PHOENIX MEDICAL SOFTWARE, INC. COMMON STOCK	11/14/2014
ADD	M74231107	NDRM	NEURODERM LTD ORDINARY SHARES	11/14/2014
ADD ***	Q9459Q135	VRACF	VIRALYTICS LTD ORDINARY SHARES (AUSTRALIA)	11/14/2014
ADD ***	Y6243K102	NLPTF	NATIONAL PETROLEUM CO., LTD. ORDINARY SHARES (TAIWAN)	11/14/2014
ADD	05462X107	AXBSF	AXIOS MOBILE ASSETS CORP. ORDINARY SHARES (CANADA)	11/14/2014
ADD	14066L121	CPNWW	CAPNIA, INC. WARRANT PUR COMMON SERIES B EXPIRING 02/12/2016	11/14/2014
ADD	31572Q808	FGEN	FIBROGEN INC COMMON STOCK	11/14/2014

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription\_form.php.

12K SEC REINSTATEMENT	40610C101	HTEE	HALL TEES INC COM STK (NV)	11/14/2014
ADD	51508J108	LMRK	LANDMARK INFRASTRUCTURE PARTNERS LP COMMON UNITS	11/14/2014
ADD	64704V114	NWDVW	NEW MEDIA INVT GROUP INC WT EXP 11/26/2023	11/14/2014
12K SEC REINSTATEMENT	86877A106	SCTZ	SURFACE COATINGS INC COM STK (TX)	11/14/2014
ADD	92765X208	VA	VIRGIN AMERICA INC COMMON STOCK	11/14/2014

### PORTAL ADD

ADD 306122201 FCNDZ FALCONS FUNDING TRUST I FARM ASSET LKD CAP SECS 144A	11/14/2014
---	------------

### DELETE

DELETE ***	F6722T104 OCTOF	OCTO TECHNOLOGY SA ORD SHS (FRA)	11/14/2014
DELETE ***	Y2071U106 DGIPF	DIGITAL TELECOMMUNICATIONS PHILIPPINES INC	11/14/2014
DELETE	75883Q106 RSOU	REGAL RES IN COM STK (NV)	11/14/2014
DELETE	812350148 SHLDZ	SEARS HOLDING CORPORATION RIGHTS	11/14/2014

# PORTAL DELETE

DELETE	48125D811 JPUNZ	JPMORGAN CHASE BK N A LDN BRH IND 11/14/2014
		LOW EXERC PR 144A 11/13/14

# SYMBOL CHANGES

Y2685T115	GSKNF TO: GSKNE	GENCO SHIPPING & TRADING LTD ORD SHS NEW (MARSHALL ISLANDS)	11/14/2014
Y2685T123	GNKWF TO: GNKWE	GENCO SHIPPING & TRADING LIMITED WARRANTS EXP 12/31/21	11/14/2014
07331L108	GLSID TO: BBTH	BBOOTH, INC. NEW COMMON STOCK	11/14/2014
16961T208	GRWID TO: GRWIF	CHINOOK TYEE INDUSTRY LTD ORDINARY SHARES	11/14/2014
29414L102	EOPT TO: EOPTE	EOS PETRO INC COM STK (DE)	11/14/2014
36354R205	PLORD TO: PLORF	GALILEO PETROLEUM LTD ORDINARY SHARES (CANADA)	11/14/2014
828229203	SSEBD TO: SSEBF	SILVER SPRUCE RESOURCES INC ORDINARY SHARES (CANADA)	11/14/2014
831004304	SLMXD TO: SLMXF	SLAM EXPLORATION LTD. COMMON SHARES (CANADA)	11/14/2014

### REORGANIZATION

DELETE	00752K204 ACTC	ADVANCED CELL TECHNOLOGY, INC. COMMON STOCK	
ADD	67457L100 OCAT	OCATA THERAPEUTICS, INC. COMMON STOCK	11/14/2014

DELETE	05222T107 AUNXY	AUSTIN EXPLORATION LTD SPONSOREI ADR (AUSTRALIA)	)
ADD	05222T206 AUNXD	AUSTIN EXPLORATION LTD NEW SPONSORED ADR (AUSTRALIA)	11/14/2014
DELETE	219791209 CRDAF	CORONADO RES LTD COM NEW ORD SHS (CDA)	
ADD	219791308 CRDAD	CORONADO RESOURCES LTD ORDINARY SHARES (CANADA)	11/14/2014
DELETE	23283B204 CMXI	CYTOMEDIX INC COM NEW	
ADD	67059V100 NUOT	NUO THERAPEUTICS, INC. COMMON STOCK	11/14/2014
DELETE	504225103 CLICF	LA RONGE GOLD CORP COMMON SHARES (CANADA)	
ADD	81619L106 CLICF	SELECT SANDS CORP. ORDINARY SHARES (CANADA)	11/14/2014
DELETE	60936Y108 MOAEY	MONGOLIA ENERGY CORPORATION LTD UNSPONSORED ADR (BERMUDA)	
ADD	60936Y207 MOAED	MONGOLIA ENERGY CORP NEW LTD UNSPONSORED ADR (BERMUDA)	11/14/2014

#### NAME CHANGE

DELETE	G10082140 EXXI	ENERGY XXI LIMITED COM SHS (BM)	
ADD	G10082140 EXXI	ENERGY XXI LTD COM SHS	11/14/2014

#### PORTAL NAME CHANGE

DELETE	55953Q103 MGJCL	MAGNIT OJSC SPONSORED GDR 144A (RUSSIA)	
ADD	55953Q103 MGJCL	MAGNIT PJSC SPONSORED GDR 144A (RUSSIA)	11/14/2014
SUSPENSION			

SUSPEND	00167X205 AMEZY	AMEC PLC ORD SPONS ADR UNITED	11/13/2014
SUSPEND	0010/A205 AMEZY	KINGDOM (GB)	11/15/2014

\* 19C-3 Security rule \*\*\* Foreign Netting Security

#### ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd\_notices@dtcc.com