



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	7420
<b>P&amp;S #:</b>	6990
<b>Date:</b>	5/4/2012
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ADREAN HINOJOSA, ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

**Last Trade Date, May 1, 2012:**

Quasar Distributors, LLC/New Covenant Funds No. 6536

**Last Trade Date, May 4, 2012:**

Arkansas Bankers Bank No. 6706

**Last Trade Date, May 18, 2012:**

Amcore Investment Services Inc. No. 6151

- The following firm will no longer participate in the MF-Profile service, as detailed below:

**First Trade Date, May 11, 2012:**

Forside Fund Services, LLC./Dundee Wealth Funds No. 6549

- The following firms will change their name only, as detailed below:

**First Trade Date, May 2, 2012:**

From: ABN AMRO Clearing Chicago LLC/Retail No. 0541

To: ABN AMRO Clearing Chicago LLC/Institutional No. 0541

**First Trade Date, May 4, 2012:**

From: Harris Investor Services, Inc. No. 5152

To: BMO Harris Financial Advisors, Inc. No. 5152

- The following firms will begin participation in the MF-Daily Price and Rate service, as detailed below:

**First Trade Date, May 4, 2012:**

Northern Lights Distributors, LLC/The Multi-Strategy Growth & Income Fund No. 3784

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

Non-Confidential

**First Trade Date, May 11, 2012:**

BHIL Distributors, Inc./Matthews International Capital Management LLC No. 5150  
Northern Lights Distributors, LLC/SFG Futures Strategy Fund No. 3610

- The following firm will begin participation in the ACATS and ACATS Fund/SERV services, as detailed below:

**First Trade Date, May 7, 2012:**

DFA Securities LLC No. 6684

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, May 7, 2012:**

Equinox Fund Management, LLC No. 3865

- The following firm will begin participation in the Insurance Processing Service, as detailed below:

**First Trade Date, May 10, 2012:**

Reliance Standard Life Insurance Company No. 4756

- The following additional number has been assigned to SEI Investments Distribution Co. (#5717) for the purpose of utilizing Fund/SERV, Networking, Commission Settlement, MF-Daily Price and Rate and ACATS Fund/SERV services, as detailed below:

**First Trade Date, May 2, 2012:**

SEI Investments Distribution Co/New Covenant Funds No. 6536  
Networking Symbol: VZ  
Contact: Casey Sauer  
Phone: (414) 347-5766

- The following additional number has been assigned to Wilmington Trust Company (#2215) for the purpose of utilizing Fund/SERV, Networking and MF-Daily Price and Rate services, as detailed below:

**First Trade Date, May 8, 2012:**

Wilmington Trust Company/WTRIS TPS No. 3311  
Networking Symbol: T9  
Contact: Kim Montana  
Phone: (602) 296-0697

- The following additional number has been assigned to Quasar Distributors, LLC (#5368) for the purpose of utilizing Fund/SERV, Networking and ACATS Fund/SERV services, as detailed below:

**First Trade Date, May 9, 2012:**

Quasar Distributors, LLC/PMC RetireFocus Funds No. 3327  
Networking Symbol: SE  
Contact: Casey Sauer  
Phone: (414) 347-5766

- Please update the NSCC Directory dated April 2012 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Executing Broker Name Change Effective</u></b>				
<b><u>First Trade Date, May 7, 2012</u></b>				
From: Pulse Trading, Inc.	PERS	0443	PULX	
To: State Street Global Markets, LLC	PERS	0443	PULX	
From: Pulse Trading, Inc.	PERS	0443	PULT	
To: State Street Global Markets, LLC	PERS	0443	PULT	
<b><u>Delete Last Trade Date, May 2, 2012</u></b>				
APB Financial Group, LLC	NFSC	0226	ALIR	
<b><u>Add First Trade Date, May 2, 2012</u></b>				
Electronic Transaction Clearing, Inc.	ETCC	0873	ETAU	
<b><u>Add First Trade Date, May 3, 2012</u></b>				
ABN AMRO Clearing Chicago LLC	FLTG	0330	SIMX	
<b><u>Add First Trade Date, May 7, 2012</u></b>				
RJL Capital Group, LLC	PFCL	0158	RJLC	
Spencer Trask Ventures, Inc.		0235	STSI	

- Please update the NSCC Directory dated April 2012 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, May 4, 2012</u></b>				
APB Financial Group, LLC	NFSC	0226	ALIR	
<b><u>Add First Trade Date, May 7, 2012</u></b>				
National Bank of Canada Financial Inc.	PERS	0443	PTLV	

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).