

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6700
P&S# 6720
Date: September 19, 2008
To: All Participants
Attention: Manager, Purchaser and Sales Department, Cashier,
Fund/SERV Participants, ACATS Participants,
Municipal Bond Comparison Participants
From: Linda Shackleton
Account Administration
Subject: Changes in the List of Participants

- The following firm will retire from all services, as detailed below:

Last Trade Date, October 01, 2008:

Foreside Distribution Services, LP/Gendex Funds No. 5619

- An additional number has been assigned to ING Funds Distributor, LLC (#5734) for the purpose of utilizing the Fund/SERV service, as detailed below:

First Trade Date, September 16, 2008:

ING Funds Distributor, LLC / ING IODC Products No. 5859

- An additional number has been assigned to Foreside Distribution Services, LP (#4967) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, DCC&S, Mutual Fund Profile Phase I, and ACATS Fund/SERV services, as detailed below:

First Trade Date, September 17, 2008:

Foreside Distribution Services, LP / Old Mutual Global Funds PLC No 5940

Networking Symbol: 2S

Contact: Jodi Akers

Phone: (720) 200-7673

Non-Confidential

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- An additional number has been assigned to TransAmerica Occidental Life Insurance Company (#4554) for the purpose of utilizing the Insurance Processing Service, as detailed below:

First Trade Date, September 22, 2008:

TransAmerica Occidental Life Insurance Company /FL TRA Business No. 4717

- Two additional numbers have been assigned to TransAmerica Financial Life Insurance Company (#4561) for the purpose of utilizing the Insurance Processing Service, as detailed below:

First Trade Date, September 22, 2008:

TransAmerica Financial Life Insurance Company /FL, NY Annuity Business No. 4718

TransAmerica Financial Life Insurance Company /FL, NY Life Business No. 4719

- The following firm will begin participation in the Fund/SERV service, as detailed below:

First Trade Date, September 22, 2008:

Walhausen & CO LLC No. 5595

- The following firm will begin participation in the Networking service, as detailed below:

First Trade Date, September 23, 2008:

Northwestern Mutual Life Insurance Company No. 4590

Networking Symbol: 3K

Contact: Ronald C. Nelson

Phone: (414) 635-2519

- An additional number has been assigned to Northern Lights Distributors LLC (#4915) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, DCC&S, and ACATS Fund/SERV services, as detailed below:

First Trade Date, September 26, 2008:

Northern Lights Distributors, LLC / Mutual Hedge Funds No. 5130

Networking Symbol: 3F

Contact: Mike Nielsen

Phone: (402) 493-1731 ext.3

- Please update the NSCC Directory dated July 3, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, September 17, 2008

Windham Securities, Inc.	LGNT	0052	WHAM
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- Please update the NSCC Directory dated July 3, 2008 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete Last Trade Date, September 12, 2008

Keating Securities, LLC	LGNT	0052	KTNG
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Delete Last Trade Date, September 16, 2008

Sunset Financial Services, Inc	NFSC	0226	SSET
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Add First Trade Date, September 15, 2008

Andrews Securities, LLC	LGNT	0052	ANDS
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Add First Trade Date, September 22, 2008

Permac Securities	PCAP	0608	PMAC
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Add First Trade Date, September 26, 2008

Island Traders Securities	QRCC	0158	ITSI
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Add First Trade Date, October 10, 2008

Wallach Beth Capital LLC	QRCC	0158	WBET
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- Please update the NSCC Directory dated July 3, 2008 (for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, September 15, 2008

Itau Europa Securities Inc.	PERS	0443	IESI
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If you have any questions regarding this notice, please contact Bill Marcellus at (813) 470-1224, Paul Du Jat at (813) 470-1221, or e-mail NSCCAA@DTCC.com.