

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6647
P&S 6217
DATE: June 27, 2008
TO: All Participants
ATTENTION: Manager, Purchaser and Sales Department
Cashier, Fund/SERV Participants, ACATS Participants,
Municipal Bond Comparison Participants
FROM: Linda Shackleton
Account Administration
SUBJECT: Changes in the List of Participants

- The following firm will retire from all services, as detailed below:
Last Trade Date, June 23, 2008:
Broadpoint Capital Inc. No. 0312
- The following firm will retire from all services, as detailed below:
Last Trade Date, June 24, 2008:
Moos (E.A.) Morgan Stanley & Co. Inc No. 6540
- The following firm will retire from all services, as detailed below:
Last Trade Date, June 24, 2008:
Moos (E.A.) Morgan Stanley & Co. Inc. No. 6540
- The following firm will retire from all services, as detailed below:
Last Trade Date, June 27, 2008:
Medallion Investment Services, Inc. No. 0137
- The following firm will retire from all services, as detailed below:
Last Trade Date, July 3, 2008:
Neuberger Berman LLC No. 0155

- The following firm will no longer participate in the Mutual Fund Profile II, as detailed below:

Last Trade Date, June 25, 2008:

MSBC Securities Corporation/Mellon Global Funds No. 6615

- The following firms will change their name only, as detailed below:

Effective First Trade, Date July 1, 2008:

From: Bank of New York (The) No. 0901

To: The Bank of New York Mellon No. 0901

From: Bank of New York (The)/First Trust Exchange Traded Funds No. 0963

To: The Bank of New York Mellon/First Trust Exchange Traded Funds No. 0963

From: Bank of New York (The)/MIDCAP SPDRS No. 2209

To: The Bank of New York Mellon/MIDCAP SPDRS No. 2209

From: Bank of New York (The)/ RRZ Public Markets, Inc No. 6033

To: The Bank of New York Mellon/ RRZ Public Markets, Inc No. 6033

From: Bank of New York (The)/ South Trust Bank of Alabama No. 6253

To: The Bank of New York Mellon/ South Trust Bank of Alabama No. 6253

- Mellon Securities Trust Co. is transferring its brokerage business to The Bank of New York Mellon. As a result, the following name change will occur:

Effective First Trade Date July 1, 2008,

From: Mellon Securities Trust Co. No. 0904

To: The Bank of New York Mellon/Mellon Securities Trust Company No. 0904

- Mellon Trust of New England, National Association is transferring its brokerage business to The Bank of New York Mellon. As a result, the following name change will occur:

Effective First Trade Date July 1, 2008,

From: Mellon Trust of New England, National Association No. 0954

To: The Bank of New York Mellon/Mellon Trust of New England N.A. No. 0954

The Bank of New York Mellon (#0901) will assume all open contracts for account numbers 0904 and 0954, which are to be cleared or settled through or by National Securities Clearing Corporation.

- The following firm will begin participation in the Mutual Fund Profile Phase I service, as detailed below:

First Trade Date, June 25, 2008:

State Street Global Markets, LLC/IBT No. 4946

- The following firm will begin participation in the Fund/Speed service, as detailed below:
First Trade Date, June 26, 2008:
 Foreside Funds Services, LLC/Aberdeen Funds No. 4899
- The following firm will begin participation in the ACATS service, as detailed below:
First Trade Date July 7, 2008:
 Manufacturers and Traders Trust Company No. 0990
- The following firm will begin participation in the Commission Settlement service, Insurance Processing Services as detailed below:
First Trade Date July 01, 2008:
 Geneos Wealth Management, Inc No. 0244
- Please update the NSCC Directory dated April 4, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete Last Trade Date, June 23, 2008:

Moors & Cabot, Inc.	NFSC	0226	MCFA
Joseph Stevens & Company, Inc.	NFSC	0226	JSLP

Delete Last Trade Date, June 27, 2008:

Optionsxpress, Inc.	LGNT	0052	OPXI
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Add First Trade Date, June 24, 2008:

Triune Capital Advisors LLC.	PFSI	0234	TRUM
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Add First Trade Date, July, 3, 2008:

ABS Brokerage Services, L.L.C.	MLCO	0161	OESM
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- Please update the NSCC Directory dated April 4, 2008 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, June 24, 2008:</u>				
Greenwood Partners LP	SILK	0501	GOOD	
<u>Delete Last Trade Date, June 26, 2008:</u>				
Greenwood Partners LP.	SILK	0501	GRWD	
<u>Add First Trade Date, June 26, 2008:</u>				
Catalyst Financial	SILK	0501	CATF	
Meeting Street Brokerage, LLC	LGNT	0052	MSBB	
<u>Add First Trade Date, June 27, 2008:</u>				
Penson Financial Services, Inc.	PFSI	0234	PFDM	
Bengal Partners LLCP	FTIS	7253	BPFI	
Penson Financial Services, Inc	PFSI	0234	PFES	
<u>Add First Trade Date, June 27, 2008</u>				
Mercator Associates, LLC	PFSI	0234	MTOR	

- Kindly update the NSCC Directory dated April 4, 2008 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
<u>Add First Trade Date, June 27, 208:</u>				
Online Brokerage Services	PERS	0443	GLBZ	

If you have any questions regarding this notice, please contact Bill Marcellus at (813) 470-1224, Paul Du Jat at (813) 470-1221, or e-mail NSCCAA@DTCC.com.