

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6748
P&S# 6318
Date: November 28, 2008
To: All Participants
Attention: Manager, Purchaser and Sales Department, Cashier,
Fund/SERV Participants, ACATS Participants,
Municipal Bond Comparison Participants
From: Linda Shackleton
Account Administration
Subject: Changes in the List of Participants

Correction to Important Notice A#6745 (P&S#6315) dated November 21, 2008. for Alger(Fred) & Company Incorporated, participant #0078 in regards to ACATS Service (see below)

- The following firms will change their names only as detailed below

First Trade Date, December 1, 2008:

From: Foreside Distribution Services, L.P./ American Performance Fd No. 5807

To: Foreside Distribution Services, L.P./ Cavanal Hill Funds No. 5807

From: Foreside Distribution Services LP/

Citi Group Institutional Trust Group Trust for Retirement Plans No.5638

To: Foreside Distribution Services, L.P./ First State Trust Company No. 5638

Non-Confidential

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- The following firm will no longer participate in the ACATS and ACATS Fund/SERV services, as detailed below:

Last Trade Date, December 09, 2008:

Alger (Fred) & Company Incorporated No. 0078

- The following firm will begin participation in OTC Comparison service, as detailed below:

First Trade Date, December 1, 2008:

Citadel Derivatives Group LLC No. 0395

OTC Comparison Symbol PRDG

Contact: Philip Vandermause

Phone: 312-443-5313

- The following firm will begin participation in the Fund/SERV, Networking and Mutual Fund Profile I & II services, as detailed below:

First Trade Date, December 1, 2008:

Frontier Trust Company No. 2563

Networking Code 3R

Contact: Tom Zlogar

Phone: (215) 648-5115

- The following firm will begin participation in the Fund/SERV service, as detailed below,

First Trade Date, December 1, 2008:

Northeast Retirement Services, Inc. No. 7104

- The following firm will begin participation in NYSE Listed comparison service, as detailed below

First Trade Date, December 1, 2008:

Penson Financial Services, Inc. No. 0234

Listed Alpha- Identifier PN

- Additional numbers have been assigned to Unified Financial Securities, Inc. (#4965) for the purpose of utilizing the Fund/SERV and Networking services, as detailed below:

First Trade Date, December 1, 2008:

Unified Financial Securities, Inc./3 to1 Fund No. 5285

Networking Code	5X
Unified Financial Securities, Inc. /Appleseed Fund Networking Code	No. 5286 5Y
Unified Financial Securities, Inc./Archer Funds Networking Code	No. 5287 6C
Unified Financial Securities, Inc./Auer Growth Funds Networking Code	No. 5290 6R
Unified Financial Securities, Inc./Becker Value Funds Networking Code	No. 5291 6S
Unified Financial Securities, Inc./Bell Funds Networking Code	No. 5294 6U
Unified Financial Securities, Inc./Chinook Fund Networking Code	No. 5295 6W
Unified Financial Securities, Inc./Iron Funds Networking Code	No. 5301 6Z
Unified Financial Securities, Inc./Leeb Focus Funds Networking Code	No. 5302 7H
Unified Financial Securities, Inc./Marathon Funds Networking Code	No. 5303 7I
Unified Financial Securities, Inc./NS Small Cap Fund Networking Code	No. 5306 7X
Unified Financial Securities, Inc./Symons Funds	No. 5307

Networking Code 8C

Unified Financial Securities, Inc./Toreador Funds No. 5308

Networking Code 8F

Contact for all: Craig Glenn

Phone: (317) 917-7000 ext.7343

- Additional numbers have been assigned to Unified Financial Securities, Inc. (#4965) for the purpose of utilizing the Fund/SERV, Networking and Commission Settlement services, as detailed below:

First Trade Date, December 1, 2008:

Unified Financial Securities, Inc. / Crawford Funds No. 5296

Networking Code 6X

Unified Financial Securities, Inc. / Mirzam Funds No. 5305

Networking Code 7U

Contact for all: Craig Glenn

Phone: (317) 917-7000 ext.7343

Please update the NSCC Directory dated October 3, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

Clearing Broker

Executing Broker

Identifiers

Identifiers

Alpha

Numeric

Alpha

Numeric

Clearing Broker Change,

Effective First Trade Date, November 26, 2008

From: Cowen and Company, LLC

SGAS

0286

CWNA

Add First Trade Date, November 26, 2008

S. Goldman Capital LLC	SILK	0501	SGCL
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Add First Trade Date, November 28, 2008

Dart Executions, LLC	SILK	0501	DEGS
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Add First Trade Date, December 1, 2008

Penson Financial Services, Inc.	PFSI	0234	PFAB
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Dawson James Securities, Inc	SALI	0750	DJSA
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If you have any questions regarding this notice, please contact Paul Du Jat at (813) 470-1221 or Donald Schwartz (813) 470-1223 or e-mail NSCCAA@DTCC.com.