



Asset Services

9.06 PROXY TAB/PARTICIPANT SECURITY POSITION LISTINGS (DTFPXY) VIA CCF/CF2: FUNCTION USER'S GUIDE

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DOCUMENT HISTORY

- 04/01/2000 Deleted '9 Character' from DTPXY Data Records, position 25.
- 02/10/2015 The following changes were made to bring the existing document up to current standards.
- Revised CCF Header Record description.
 - Removed references to CCRDTFDB and added NDMDTFGP and NDMDTFSP.
 - Revised CF2 Header and Trailer Records.
 - Changed CCF-II references to read CF2.
 - Identified existing CF2 HDR and TRL Record Format as NDM specific.
 - Corrected "TLR" in Field Description (position 1) to read "TRL".
 - Added (0001) to Field Description (position 56).
 - Expanded Filler (position 60) from 15 to ???
 - Removed Sequence Number field (position 75).
 - Added FTP specific CF2 Header and Trailer Record Format.
- 02/10/2015 Changed format of Record Date and Meeting Date from "mmddy" to "yymmdd" in DTPXY Data Records.
- 08/14/2023 Added field AGNT-NUM-XPND (position 63) and modified position 63 length for layout.
Reformatted the document to fit the current template.

OBJECTIVES OF THIS CHAPTER

The objectives of this chapter are to give information about:

- The DTFPXY function
- Required transmission record formats

THE DTFPXY FUNCTION

The DTFPXY function provides Users with DTC Proxy Tab/Participant Security Position Listings in a series of machine-readable records, each record corresponding to a Proxy Tab/Participant Security Position Listing.

Information received via the DTFPXY function can be used to automate the verification of a Participant's closing balance-by-account versus the Participant's position with DTC at the end of the business day.

Availability of DTF/DTFPXY

DTFPXY is normally available from 4:00 a.m. to 4:00 p.m. (Eastern Time).

HEADER AND TRAILER RECORDS

The CCF Header Record

NDM users executing NDMDTF01, NDMDTFGP, or NDMDTFSP will receive the following CCF Header record:

CCF Header Record Format				
Position	Length	Format	Field Name	Field Description
1	6	Character	Data Type Requested	DTPXY
7	6	Character	Data Type Created	DTPXY
13	8	Character	Creation Date	Date of data (MM/DD/YY).
21	8	Character	Spool Date	DTC data load date (MM/DD/YY).
29	8	Character	Load Time	DTC data load time (HH:MM:SS).
37	2	Binary	Record Size	Size of each data record.
39	4	Binary	Block Count	Number of data blocks input to CCFDTFDB.
43	4	Binary	Record Count	Number of data records.
47	???	Character	Filler	DTC use only. Do not use.

The CF2 Header and Trailer Records

The CF2 Header and Trailer records come in two different formats; NDM and FTP.

Note:

The Header and Trailer records are identical except for the first and last field of each record.

NDM – CF2 Header and Trailer Records

NDM users executing NDMDTF02 or NDMDTFGH will receive the following:

CF2 Header and Trailer Record Format - NDM				
Position	Length	Format	Field Name	Field Description
1	3	Character	Record ID	"HDR" or "TRL"
4	4	Character	Signon ID	Signon ID
8	6	Character	Data Type Requested	DTFPXY
14	6	Character	Data Type Created	DTFPXY
20	8	Character	Creation Date	Data Creation Date (MM/DD/YY)
28	8	Character	Spool Date	Data Load Date (MM/DD/YY)
36	8	Character	Load Time	Data Load Time (HH:MM:SS)
44	4	Numeric	Record Length	Record Length of data requested
48	8	Numeric	Record Count	Number of data records in file
56	4	Numeric	80-Byte Record Count	Number of 80-byte records per data type requested. (0001)
60	???	Character	Filler	DTC use only. Do not use.

FTP - CF2 Header and Trailer Records

FTP users will get the following:

CF2 Header and Trailer Record Format - FTP				
Position	Length	Format	Field Name	Field Description
1	3	Character	Record ID	"HDR" or "TRL"
4	8	Character	Sign-on ID	Sign-on ID
12	6	Character	Data Type Requested	DTPXY
18	6	Character	Data Type Created	DTPXY
24	8	Character	Creation Date	Data Creation Date (MM/DD/YY)
32	8	Character	Spool Date	Data Load Date (MM/DD/YY)
40	8	Character	Load Time	Data Load Time (HH:MM:SS)
48	4	Numeric	Record Length	Record Length of data requested.
52	8	Numeric	Record Count	Number of data records in file.
60	4	Numeric	80-Byte Record Count	Number of 80-byte records per data type requested. (0001)
64	???	Character	Filler	DTC use only. Do not use.

DTFPXY DATA RECORDS

The format of the DTFPXY Data Record, is shown below:

DTFPXY Data Record Format				
Position	Length	Format	Field Name	Field Description
1	4	Character	Filler	DTC use only; do not use.
5	4	Character	Agent Number	4-character identifier of agent to whom the record is being sent.
9	2	Character	Filler	DTC use only; do not use.
11	9	Character	CUSIP Number	9-character issue identifier.
20	5	Character	Filler	DTC use only; do not use.
25	4	Character	Participant Number	Participant identifier.
29	6	Character	Record Date	yymmdd
35	6	Character	Meeting Date	yymmdd
41	9	Character	Filler	DTC use only; do not use.
50	13	Numeric	Share quantity	In units of 1.
63	8	Numeric	Agent-num-xpnd	
71	10	Character	Filler	DTC use only; do not use.

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