



Important Notice
National Securities Clearing Corporation

A#: 7421
P&S#: 6991
DATE: MAY 4, 2012
TO: ALL PARTICIPANTS
ATTENTION: MANAGING PARTNER/OFFICER; P&S MANAGER, CASHIER; OPERATIONS MANAGER; MANAGER, DATA PROCESSING MANAGER, IT MANAGER
FROM: PRODUCT MANAGEMENT
SUBJECT: DECOMMISSIONING LEGACY EQUITY TRADE CAPTURE OUTPUT

Based on current and expected Universal Trade Capture (UTC) output usage, NSCC plans to decommission legacy output MRO files in mid 2013. In late 2012, NSCC will issue a subsequent notice to define the specific date after which the following legacy MRO's will no longer be available:

AutoRoute ID #	Test AutoRoute ID #	Report Name
02063127	02983127	Correspondent Clearing Intra-Day MRO
02023183	02983183	OTC Locked-In Intra-Day MRO
02020218	02980218	OTC Rejects MRO
02020418	02980418	NASDAQ Rejects MRO
02013036	02983036	NYSE Intra-Day MRO
02010610	02980610	NYSE FDC EOD Rejects MRO
02010611	02980611	NYSE OCS EOD Rejects MRO
02143125	02983125	RIO Intra-Day MRO (Regional)
02140350	02980350	RIO Rejects MRO (Regional)
02140199	02980199	RIO Markets Totals MRO (Regional)
02140280	02980280	OCC EOD Totals MRO

Please include support of UTC output in upcoming project plans. Firms have the option to receive equity clearance output through UTC FIX-format messages transmitted via MQ or FIX protocol or the UTC MRO (AutoRoute #02081673).

Please note that legacy Datatrak (input) files will continue to be supported until further notice.

Getting Started With UTC

Firms and exchanges that plan to begin receiving UTC output should contact their Relationship Manager. DTCC staff will work to address any questions and begin the connectivity setup process. This process may take several weeks, so please plan accordingly.

Testing Overview

UTC testing details can be found at the [UTC website](#). The testing section includes test approach documents and test scripts for review. These documents outline NSCC's testing process and provide approximate timelines for planning purposes.

Additional Information

Please visit the UTC web page at <http://dtcclearing.com/learning/clearance/> for UTC formats and other product information.

For questions about this Important Notice, please contact your Relationship Manager or email the undersigned at tgarrett@dtcc.com.

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