

IMPORTANT NOTICE

National Securities Clearing Corporation



OTC #: 224
DATE: 11/17/2011
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER,
MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER,
MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE
LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip #	Symbol	Name	Effective Date
ADD				
ADD ***	Q9657G103	WMNPF	WESTERN MANGANESE LTD PERTH WA ORD SHS (AUS)	11/17/2011
ADD	034754101	ANGI	ANGIES LIST INC COM STK (DE)	11/17/2011
ADD	21976U109	CNDO	CORONADO BIOSCIENCES INC COM (DE)	11/17/2011
ADD	761131101	RVRLF	RESERVOIR MINERALS INC ORD SHS	11/17/2011
ADD	825710106	SCVPY	SIAM CEMENT PUB CO LTD UNSPONSORED ADR (THA)	11/17/2011
ADD	89841C109	TRPRY	TRUSTPOWER LTD ORD UNSPONSORED ADR (NZL)	11/17/2011
ADD	913803201	UVRBY	UNIVERSAL ROBINA UNSPONSORED ADR (PHL)	11/17/2011
ADD	936761105	WSBLY	WASABI ENG LTD SPONSORED ADR (AUS)	11/17/2011

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DELETE

DELETE	P37307108	ECTPQ	ENRON CAP LLC 8% CUM PFD	11/17/2011
DELETE	09626L108	BSTM	BLUESTEM BRANDS INC COM STK (DE)	11/17/2011
SEC 12J SUSPENSION	10138R104	BOTM	BOTTOMLINE HOME LN INC NEV	11/17/2011
DELETE	29357D208	ECSPQ	ENRON CAPITAL RES LP 9% PFD A	11/17/2011
DELETE	867652307	SPWRB	SUNPOWER CORP CL B COM STK	11/17/2011
SEC 12J SUSPENSION	90262T308	UCBHQ	UCBH HOLDINGS INC	11/17/2011

REORGANIZATION

DELETE	Q2144X102	CAHPF	CATALPA RESOURCES LTD ORD SHS (AUS)	
ADD ***	Q3647R147	CAHPF	EVOLUTION MINING LTD ORD SHS (AUS)	11/17/2011
DELETE	042797100	ARWR	ARROWHEAD RESEARCH CORP	
ADD	042797209	ARWRD	ARROWHEAD RESH CORP COM STK NEW (DE)	11/17/2011
DELETE	37946K104	GLKFF	GLOBAL ENERGY SERVICES LTD (CDA)	
ADD	75101V101	GLKFF	RAISE PRODUCTION INC ORD SHS (CDA)	11/17/2011
DELETE	867652109	SPWRA	SUNPOWER CORPORATION CL A	
ADD	867652406	SPWR	SUNPOWER CORP COM STK (DE)	11/17/2011

* 19C-3 Security rule

*** Foreign Netting Security

Note: All SEC 12J suspensions will also be removed from CNS eligibility

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
