

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7007
P&S# 6578
Date: June 14, 2010
To: All Participants
Attention: **Managing Partner/Officer, P&S Manager, Cashier,
Data Processing Manager, Fund/SERV Participants**
From: **Enterprise Service Center**
Subject: **INDEPENDENCE DAY HOLIDAY SCHEDULE**

National Securities Clearing Corporation, the NYSE, and NASDAQ Exchanges, FINRA, OTC Marketplaces and all New York Banks will be closed on **Monday, July 5, 2010** in observance of Independence Day. The following changes in NSCC's operations and schedules will be in effect:

COMPARISON SYSTEMS

Trade input for OTC equities for trade date **July 2, 2010** will be accepted no later than 12:00 Midnight on **Friday, July 2, 2010**. Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals, and UITS (CMU) for trade date **July 2, 2010** will be accepted no later than 8:00 P.M. on **Friday, July 2, 2010**.

CNS CONTINUOUS NET SETTLEMENT

Seg. Exemptions and Priority Requests for settlement **Tuesday, July 6, 2010** will be accepted on **Friday, July 2, 2010**.

CENTRAL DELIVERY AND SETTLEMENT

No Delivery or Settlement Operations will be provided on **Monday, July 5, 2010**.

INTER-CITY DELIVERIES

Inter-City Deliveries will be accepted on **Friday, July 2, 2010** for settlement **Tuesday, July 6, 2010**.

STOCK BORROW PROGRAM

Stock Borrow availability information for the Night Cycle will be accepted on **Friday Evening, July 2, 2010** for settlement on **Tuesday, July 6, 2010**. The Daytime Stock Borrow for settlement **Tuesday, July 6, 2010** will be accepted on **Tuesday, July 6, 2010**.

Non-Confidential

DTCC is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

ACAT- AUTOMATED CUSTOMER ACCOUNT TRANSFER:

No ACAT activity will be accepted on **Monday, July 5, 2010.**

ACAT-FUND/SERV

No ACAT-FUND/SERV Interface activity will be accepted on **Monday, July 5, 2010.**

ACAT – COST BASIS:

No ACAT- Cost Basis activity will be accepted on **Monday, July 5, 2010.**

MUTUAL FUND COMMISSION SETTLEMENT

No Mutual Fund Commission Settlement activity will be accepted on **Monday, July 5, 2010.**

FUND/SERV AND NETWORKING

No Fund/SERV or Networking activity will be accepted on **Monday, July 5, 2010.**

INSURANCE PROCESSING SERVICE

IPS files received on **Monday, July 5, 2010** will be processed. POV files will be transmitted on **Monday, July 5, 2010** (refer to NSCC Important Notice A#6648, dtd June 30, 2008). Subsequent output regarding these files will be produced and distributed on **Tuesday, July 6, 2010.** There will be no Insurance Commission or APP/SUB Settlement provided on **Monday, July 5, 2010.**

Questions regarding this notice should be directed to DTCC Relationship Management or the undersigned at (212) 855-5947.

Robert Famigletti
Manager
Enterprise Service Center