

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6989
P&S# 6559
Date: May 6, 2010
To: All Participants
Attention: Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants
From: Enterprise Service Center
Subject: MEMORIAL DAY HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE, and NASDAQ Exchanges, FINRA, OTC Marketplaces and all New York Banks will be closed on Monday, May 31, 2010 in observance of Memorial Day. The following changes in NSCC's operations and schedules will be in effect:

COMPARISON SYSTEMS:

Trade input for OTC equities for trade date Friday, May 28, 2010 will be accepted no later than 12:00 Midnight on Friday, May 28, 2010. Trade input for the Real Time Transaction System (RTTM) for Corporate, Municipals, and UITS (CMU) for trade date Friday, May 28, 2010 will be accepted no later than 8:00 PM on Friday, May 28, 2010.

CNS CONTINUOUS NET SETTLEMENT:

Seg. Exemptions and Priority Requests for settlement Tuesday, June 1, 2010 will be accepted on Friday evening, May 28, 2010.

CENTRAL DELIVERY AND SETTLEMENT:

No Delivery or Settlement Operations will be provided on Monday, May 31, 2010.

INTER-CITY DELIVERIES:

Inter-City Deliveries will be accepted on Friday, May 28, 2010 for settlement on Tuesday, June 1, 2010.

STOCK BORROW PROGRAM:

Stock Borrow availability information for the Night Cycle will be accepted on Friday evening, Friday, May 28, 2010 for settlement Tuesday, June 1, 2010. The Daytime Stock Borrow for settlement Tuesday, June 1, 2010 will be accepted on Tuesday, June 1, 2010.

Non-Confidential

DTCC is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

ACAT - AUTOMATED CUSTOMER ACCOUNT TRANSFER:

No ACAT activity will be accepted on Monday, May 31, 2010.

ACAT - FUND/SERV INTERFACE:

No ACAT-Fund/SERV Interface activity will be accepted on Monday, May 31, 2010.

ACAT-IPS

No ACATS-IPS Interface activity will be accepted on Monday, May 31, 2010.

ACAT – COST BASIS:

No ACAT- Cost Basis activity will be accepted on Monday, May 31, 2010.

MUTUAL FUND COMMISSION SETTLEMENT:

No Mutual Fund Commission Settlement activity will be accepted on Monday, May 31, 2010.

FUND/SERV AND NETWORKING:

No Fund/SERV or Networking activity will be accepted on Monday, May 31, 2010.

INSURANCE PROCESSING SERVICE:

IPS files received on Monday, May 31, 2010 will be processed. **POV files will be transmitted on Monday, May 31, 2010** (refer to NSCC Important Notice A#6648, dtd June 30, 2008). Subsequent output regarding these files will be produced and distributed on Tuesday, June 1, 2010.

Questions regarding this notice should be directed to your Relationship Manager or Relationship Services at (800) 422-0582, or the undersigned at (212) 855-5947.

Robert Famigletti
Manager
Enterprise Service Center