AN ISSUER'S JOURNEY THROUGH DTCC CREATING LIQUIDITY AND STABILITY IN THE GLOBAL CAPITAL MARKETS

ISSUER via INVESTMENT BANKERS, ATTORNEYS, AGENTS **REGISTERED HOLDERS BANKS AND BROKERS** Submits new issues for DTC ELIGIBILITY DTC SECURITIES PROCESSING UNDERWRITING **ASSET SERVICING** Safekeeps physical and electronic Makes issues DTC eligible Performs corporate actions processing certificates on behalf of issuers' agents on behalf of issuers via PAYING AGENTS via TRANSFER AGENTS PRINCIPAL AND Interest processing REORGANIZATION EVENTS DEPOSITS AND WITHDRAWALS DTC Announces, allocates, and Announces and processes tenders, MASTERFILE pays on issues mergers, warrants, puts, stock splits Processes deposits and withdrawals on behalf of institutional and beneficial owners **GLOBAL TAX** REDEMPTIONS SERVICES Processes partial and Provides tax relief and multicurrency CUSTODY DTC's nominee name **Cede & Co.** becomes holder of record full call events support for ADRs, global shares, equities, and fixed income securities Stores and manages book-entry issues and physical certificates and restricted securities on behalf of institutional and beneficial owners via AGENTS, TABULATORS, SOLICITORS PROXY Provides Omnibus Proxy and information needed to contact shareholders about events such as annual meetings

INSTITUTIONAL INVESTORS AND BENEFICIAL OWNERS

DTCC

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