True SSI Automation is in Reach with ALERT®

DTCC

Inaccurate or incomplete standing settlement instructions (SSIs) are the biggest source of settlement failures, most of which result from firms still using manual processes for either the management or communication of SSIs. Even when trades don't fail, poor data quality resulting from these manual processes can create unnecessary downstream issues and an increase in cybersecurity risk.

Improving automation and centralization of SSI data by leveraging DTCC's ALERT platform, the industry's largest database of golden source account and SSIs, creates a central point of SSI entry and consumption for the industry bringing much needed consistency for data usage and reporting.

There are many ways a firm can access ALERT that allows them to take advantage of automating their SSI process and reduces their ultimate risk of trade failure. For buy-side participants who aren't yet using ALERT, DTCC can help with a range of available managed solutions that can streamline internal workflows and processes with little effort needed.

15+M SSIs

1,800+ INVESTMENT MANAGERS

1,500+ BROKERS

ALERT'S AUTOMATED SOLUTIONS CREATE A LINK BETWEEN THE SOURCE PROVIDER AND THE ALERT DATABASE – ENSURING ACCESS TO THE MOST ACCURATE AND COMPLIANT SSI INFORMATION.

ALERT Broker Dealer Direct

Provides direct integration permitting real time SSI enrichment to broker dealers.

ALERT for Prime Brokers

Provides an end to end flow of SSI data from the prime broker to a hedge fund and subsequently out to a broker/dealer. ALERT for Prime Brokers creates a solution to prime brokers whereby they can store their SSIs and permission the hedge fund to link to and use that data.

9 PRIME BROKERS LIVE

ALERT Global Custodian Direct (GCD)

Automates the exchange of SSIs between a custodian's central repository and ALERT. This enables the global custodian/prime broker to own and manage the SSI data, effectively creating the "golden copy" within the ALERT platform.

12 GLOBAL CUSTODIANS LIVE

11 REGIONAL CUSTODIANS & TRUST BANKS LIVE

ALERT Global Custodian Web

Designed for smaller global custodians to take advantage of ALERT's custodian managed model by allowing them to utilize a web-based platform to streamline the creation and exchange of SSIs between their central repository and the ALERT platform. They can do this by easily uploading bulk settlement instructions (SIs) using a standard SI template.

THROUGH ALERT'S MANAGED SOLUTIONS, SSI ENTRY AND MAINTENANCE IS MANAGED BY DTCC FREEING UP VALUABLE TIME AND RESOURCES.

ALERT ASSIsT

A free service that allows non-ALERT investment managers and hedge funds to leverage DTCC to maintain their SSIs within the ALERT platform on their behalf. DTCC will handle the uploading of accurate SSIs to ALERT and will provide a timely transmission of updates to their custodians or prime brokers.

157 INVESTMENT MANAGERS USE ASSIsT

434,000+ SSIs MANAGED THROUGH THE ALERT ASSIsT PROGRAM

ALERT Investment Manager Outsourcer (IMO) Liftout

For outsourcers who leverage ALERT on behalf of their clients, DTCC has introduced an IMO Liftout service that gives outsourcers the ability to free up valuable time and resources. Through this service, DTCC will maintain the outsourcer's client's SSIs within the ALERT platform ensuring not only increase timeliness but also the assurance that the SSI data is in compliance with the latest industry and market standards.

1.4 M+ SSIs MANAGED VIA THE ALERT IMO LIFTOUT

ALERT Investment Manager SSI Liftout

Enables investment managers who are currently ALERT clients to leverage DTCC to maintain their SSIs within the ALERT platform for them, helping to streamline internal processes and free up valuable time and resources. With Investment Manager SSI Liftout, investment managers can be confident that their data is in compliance with the latest industry and market standards.

21 INVESTMENT MANAGERS USE IM SSI LIFTOUT

953,000+ SSIs MANAGED THROUGH IM LIFTOUT SERVICE

ALERT API

Provides Investment Managers with an alternative way to request and receive all standard and collateral SSI and market availability data in real time. It automates the retrieval of SSI information traditionally received only via web user interfaces by leveraging the API Marketplace.

DTCC