



Asset Services

7.3 LEGAL DEPOSITS FUNCTION (DTFLGD) VIA CCF AND CCF-II: FUNCTION USER'S GUIDE

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OBJECTIVES OF THIS CHAPTER

The objectives of this chapter are to give information about

- The DTC Legal Deposits Information (DTFLGD) function
- The format of DTFLGD Information Records

DTFLGD FUNCTION

The DTFLGD function enables Users to receive DTC Legal Deposits information. It provides users with DTC Legal Deposits information through a series of machine- readable records, each record corresponding to a Legal Deposit Record. The information received via the DTFLGD function can be used to automate the updating Of users' files.

DTFLGD Transmission Modes

DTFLGD files are available via CCF and CCF-II. Users requesting DTFLGD via CCF receive a file consisting of a CCF Header Record followed by the DTFLGD Detail Records. Users requesting DTFLGD via CCF-II receive a file comprised of CCF-II Header and Trailer Records separated by the DTFLGD Detail Records.

The formats for the CCF Header Record, CCF-II Header and Trailer Records, and the DTFLGD Record are described in [Record Templates](#), beginning.

Availability of DTFLGD

The DTFLGD function is normally available from 7:00 a.m. until 4:30 p.m. (Eastern Time).

RECORD TEMPLATES

As mentioned in [Availability of DTFLGD](#), the file structure associated with the Legal Deposits function consists of the following records, whose format is described on subsequent pages in this section:

- a. CCF Header Record, for CCF Users (see [CCF Header Record](#)) or CCF-II Header Record, for CCF-II Users (see [CCF-II Header and Trailer Records](#))
- b. BDSPK1/2/G Details Record (see [DTFLGD Data Records](#) on beginning)
- c. CCF-II Trailer Record, for CCF-II Users only (see [CCF-II Header and Trailer Records](#))

CCF Header Record

When HEADER=YES is specified as a CCFDTFDB parameter, the first record on the DTFLGD function file is a Header Record that contains information regarding the creation of the file.

NDM users executing NDMDTF01 and RJE 3770 Users executing RJESDTF2 also receive the CCF Header Record shown below:

CCF Header Record Format				
Position	Length	Format	Field Name	Field Description
1	6	Character	Data Type Requested	DTFLGD
7	6	Character	Data Type Created	DTFLGD
13	8	Character	Creation Date	<i>mm/dd/yy</i>
21	8	Character	Spool Date	<i>mm/dd/yy</i>
29	8	Character	Load Time	<i>hh:mm:ss</i>
37	2	Binary	Record Size	Size of each data record
39	4	Binary	Block Count	Number of data blocks input to CCFDTFDB
43	4	Binary	Record Count	Number of data records
47	??	Character	Filler	DTC use only; do not use.

For DTFLGD data records, see [DTFLGD Data Records](#).

CCF-II Header and Trailer Records

The format of each CCF-II Header and Trailer Record is described below. Please note that the Header and Trailer Records are identical except for the first and last field of each record.

NDM users executing NDMDTF01 and RJE 3770 Users executing RJESDTF2 receive the CCF Header Record shown on the previous page.

CCF-II Header/Trailer Record Format (Part 1 of 2)				
Position	Length	Format	Field Name	Field Description
1	3	Character	Record Identifier	HDR or TLR
4	4	Character	Signon ID	Signon ID
8	6	Character	Data Type Requested	DTFLGD
14	6	Character	Data Type Created	DTFLGD
20	8	Character	Creation Date	<i>mm/dd/yy</i>
28	8	Character	Spool Date	<i>mm/dd/yy</i>
36	8	Character	Load Time	<i>hh:mm:ss</i>
44	4	Numeric	Record Length	Record Length of data requested
48	8	Numeric	Record Count	Number of data records in file
56	4	Numeric	80-Byte Record Count	<i>nnnn</i> number of 80-byte records per data type requested
60	15	Character	Filler	DTC use only; do not use.
75	6	Numeric	Sequence Number	Used as a data integrity check HDR 000000 TLR 999999

DTFLGD Data Records

Type 0 DTFLGD Data Record

DTFLGD Type 0 Data Record Format				
Position	Length	Format	Field Name	Field Description
1	8	Character	Participant Number	00nnnnnn
9	1	Character	Record Type	0 cleared DTC (in transfer)
10	9	Character	CUSIP Number	9-character issue identifier
19	15	Character	Participant's Reference Number	Participant-assigned deposit reference number
34	7	Numeric	Filler	DTC use only; do not use.
41	6	Character	In Transfer Date	<i>mmddy</i>
47	9	Numeric	Share Quantity	9(9) in units of 1
56	5	Numeric	Fractional Share Denomination	Denomination of fractional shares
61	40	Character	Filler	DTC use only; do not use.

Type 1 DTFLGD Data Record

DTFLGD Type 1 Data Record Format				
Position	Length	Format	Field Name	Field Description
1	8	Character	Participant Number	00nnnnnn
9	1	Character	Record Type	1 cleared transfer agent (out transfer)
10	9	Character	CUSIP Number	9-character issue identifier
19	15	Character	Participants Reference Number	Participant-assigned deposit reference number
34	7	Numeric	Filler	DTC use only; do not use.
41	6	Character	In Transfer Date	<i>mmddy</i>
47	6	Character	Out Transfer Date	<i>mmddy</i>
53	6	Character	Issue Date	<i>mmddy</i>
59	9	Numeric	Share Quantity	9(9) in units of 1
68	5	Numeric	Fractional Share Deno- mination	Denomination of fractional shares
73	28	Character	Filler	DTC use only; do not use.

Type 2 DTFLGD Data Record

DTFLGD Type 2 Data Record Format				
Position	Length	Format	Field Name	Field Description
1	8	Character	Participant Number	00nnnnnn
9	1	Numeric	Record Type	2 rejected by DTC
10	9	Character	CUSIP Number	9-character identifier
19	15	Character	Participant's Reference Number	Participant-assigned deposit reference number
34	7	Numeric	Filler	DTC use only; do not use.
41	6	Character	In Transfer Date	<i>mmddy</i>
47	6	Character	Rejection Date	<i>mmddy</i>
53	28	Character	Rejection Message	Free-form text
81	9	Numeric	Share Quantity	9(9) in units of 1
90	5	Numeric	Fractional Share Denominator	Denomination of fractional shares
95	6	Character	Filler	DTC use only; do not use.

Type 3 DTFLGD Data Record

DTFLGD Type 3 Data Record Format				
Position	Length	Format	Field Name	Field Description
1	8	Character	Participant Number	00nnnnnn
9	1	Character	Record Type:	3 rejected by transfer agent
10	9	Character	CUSIP Number	9-character identifier
19	15	Character	Participant's Reference Number	Participant-assigned deposit reference number
34	7	Numeric	Filler	DTC use only; do not use.
41	6	Character	In Transfer Date	<i>mmdyy</i>
47	6	Character	Rejection Date	<i>mmdyy</i>
53	28	Character	Rejection Message	Free-form text
81	9	Numeric	Share Quantity	9(9) In units of 1
90	5	Numeric	Fractional Share Denomination	Denomination of fractional shares
95	6	Character	Filler	DTC use only; do not use.

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