CF2/MQ Transmission Guides

07.22 Paperless Legal Deposit Support Participating Transfer Agent Issue file

DTF (PLAQSP)

Function User's Guide

Version 1

Copyright

Document History

10/03/2016	The following changes were made to bring the existing document up to current standards.
	Added CCF Header Record description.
	Added CF2 Header and Trailer Records
	Added FTP specific CF2 Header and Trailer Record Format.
06/13/2018	Document reformatted with newer template. Clarified file availability.
09/13/2019	Revised Copyright / Disclosure Statement.

Contents

1. Overview

This document describes DTC's PLAQSP function, used for transmission PLA transactions via CCF/DTF DTC's Data Transmission Facilities.

1.1 The PLAQSP Function

The 'PLAQSP' function will provide participants with all participating 'Paperless Legal Deposit' transfer agents and the issues that they transfer.

1.2 Availability of PLAQSP

Weekly - Monday 07:00 a.m. – 03:00 a.m.

2. Header and Trailer Records

2.1 The CCF Header Record

NDM users executing NDMDTF01, NDMDTFGP, or NDMDTFSP will receive the following CCF Header record.

CCF Header Record Format				
Position	**Length**	**Format**	**Field Name**	**Field Description**
1	6	Character	Data Type Requested	PLAQSP
7	6	Character	Data Type Created	PLAQSP
13	8	Character	Creation Date	Date of data (MM/DD/YY).
21	8	Character	Spool Date	DTC data load date (MM/DD/YY).
29	8	Character	Load Time	DTC data load time (HH:MM:SS).
37	2	Binary	Record Size	Size of each data record.
39	4	Binary	Block Count	Number of data blocks input to CCFDTFDB.
43	4	Binary	Record Count	Number of data records.
47	???	Character	Filler	DTC use only. Do not use.

2.2 The CF2 Header and Trailer Records

The CF2 Header and Trailer records come in two different formats; NDM and FTP.

NOTE that the Header and Trailer records are identical except for the first and last field of each record.

2.2.1 NDM – CF2 Header and Trailer Records

NDM users executing NDMDTF02 or NDMDTFGH will receive the following:

Position	Length	Format	Field Name	Field Description
5 CF2 Header and Trailer Record Format - NDM
1	3	Character	Record ID	"HDR" or "TRL"
4	4	Character	Signon ID	Signon ID
8	6	Character	Data Type Requested	PLAQSP
14	6	Character	Data Type Created	PLAQSP
20	8	Character	Creation Date	Data Creation Date (MM/DD/YY)
28	8	Character	Spool Date	Data Load Date (MM/DD/YY)
36	8	Character	Load Time	Data Load Time (HH:MM:SS)
44	4	Numeric	Record Length	Record Length of data requested
48	8	Numeric	Record Count	Number of data records in file.
56	4	Numeric	80-Byte Record Count	Number of 80-byte records per data type requested. (0001)
60	???	Character	Filler	DTC use only. Do not use.

2.2.2 FTP - CF2 Header and Trailer Records

FTP users will get the following:

CF2 Header and Trailer Record Format - FTP				
Position	**Length**	**Format**	**Field Name**	**Field Description**
1	3	Character	Record ID	"HDR" or "TRL"
4	8	Character	Sign-on ID	Sign-on ID
12	6	Character	Data Type Requested	PLAQSP
18	6	Character	Data Type Created	PLAQSP
24	8	Character	Creation Date	Data Creation Date (MM/DD/YY)
32	8	Character	Spool Date	Data Load Date (MM/DD/YY)
40	8	Character	Load Time	Data Load Time (HH:MM:SS)
48	4	Numeric	Record Length	Record Length of data requested
52	8	Numeric	Record Count	Number of data records in file.
60	4	Numeric	80-Byte Record Count	Number of 80-byte records per data type requested. (0001)
64	???	Character	Filler	DTC use only. Do not use.

3. DTF Detail Record Format

The field layout of the 26-byte header is defined as:

Position	Length	Format	Field Name	ICM Transaction Header/Record Description
1	1	Character	Feedback Indicator	Leave blank on input. On output indicates whether any errors were encountered during processing.
2	1	Character	Production/ Test Indicator	(Required) indicator of whether the transaction is to be processed in the Test or Production environment. P = Production T = Test
3	6	Character	Record Type	Value = PLAQSP
9	2	Numeric	Record Suffix	Indicates the record # on single and multiple data records within a transaction.
11	2	Numeric	Version Number	Used to indicate which version (for example, 'latest' or 'previous' format) the data is in: must be 01.
13	6	Numeric	User Reference Number	(Optional) used by transmitting party to uniquely identify the transaction.
19	8	Character	Addressee	(Required) CCF Sign-on used to transmit this file.
Paperless Legal Deposit Support Participating Transfer Agent and Issue file layout:				
27	9	Character X(09)	CUSIP ID	CUSIP ID
36	20	Character X(20)	CUSIP Description	CUSIP Description
56	8	Numeric 9(08)	Transfer Agent number	Issuing Transfer Agent Number

Position	Length	Format	Field Name	ICM Transaction Header/Record Description
64	20	Character X(20)	Transfer Agent name	Issuing Transfer Agent name
84	23	Character X(23)	Filler	**RESERVED FOR DTCC USE ONLY.**